

# A RESIDUAL DUALITY OVER GORENSTEIN RINGS WITH APPLICATION TO LOGARITHMIC DIFFERENTIAL FORMS

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ABSTRACT. Kyoji Saito’s notion of a free divisor was generalized by the first author to reduced Gorenstein spaces and by Delphine Pol to reduced Cohen–Macaulay spaces. Starting point is the Aleksandrov–Terao theorem: A hypersurface is free if and only if its Jacobian ideal is maximal Cohen–Macaulay. Pol obtains a generalized Jacobian ideal as a cokernel by dualizing Aleksandrov’s multi-logarithmic residue sequence. Notably it is essentially a suitably chosen complete intersection ideal that is used for dualizing. Pol shows that this generalized Jacobian ideal is maximal Cohen–Macaulay if and only if the module of Aleksandrov’s multi-logarithmic differential  $k$ -forms has (minimal) projective dimension  $k - 1$ , where  $k$  is the codimension in a smooth ambient space. This equivalent characterization reduces to Saito’s definition of freeness in case  $k = 1$ . In this article we translate Pol’s duality result in terms of general commutative algebra. It yields a more conceptual proof of Pol’s result and a generalization involving higher multi-logarithmic forms and generalized Jacobian modules.

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## 1. INTRODUCTION

Logarithmic differential forms along hypersurfaces and their residues were introduced by Kyoji Saito (see [Sai80]). They are part of his theory of primitive forms and period mappings where the hypersurface is the discriminant of a universal unfolding of a function with isolated critical point (see [Sai81, Sai83]). The special case of normal crossing divisors appeared earlier in Deligne’s construction of mixed Hodge structures (see [Del71]). Here the logarithmic differential 1-forms form a locally free sheaf. In general a divisor with this property is called a free divisor. Further examples include plane curves (see [Sai80,

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(1.7)), unitary reflection arrangements and their discriminants (see [Ter83, Thm. C]) and discriminants of versal deformations of isolated complete intersection singularities and space curves (see [Loo84, (6.13)] and [vS95]). Free divisors also occur as discriminants in prehomogeneous vector spaces (see [GMS11]). In case of hyperplane arrangements the study of freeness attracted a lot of attention (see [Yos14]).

Let  $D$  be a germ of reduced hypersurface in  $Y \cong (\mathbb{C}^n, 0)$  defined by  $h \in \mathcal{O}_Y$ . The  $\mathcal{O}_Y$ -modules  $\Omega^q(\log D)$  of logarithmic differential  $q$ -forms along  $D$  and the  $\mathcal{O}_D$ -modules  $\omega_D^p$  of regular meromorphic differential  $p$ -forms on  $D$  fit into a short exact logarithmic residue sequence (see [Sai80, §2] and [Ale88, §4])

$$0 \longrightarrow \Omega_Y^q \longrightarrow \Omega^q(\log D) \xrightarrow{\text{res}_D^q} \omega_D^{q-1} \longrightarrow 0.$$

Denoting by  $\nu_D: \tilde{D} \rightarrow D$  the normalization of  $D$ ,  $(\nu_D)_*\mathcal{O}_{\tilde{D}} \subseteq \omega_D^0$  (see [Sai80, (2.8)]). For plane curves Saito showed that equality holds exactly for normal crossing curves (see [Sai80, (2.11)]). Granger and the first author (see [GS14]) generalized this fact and thus extended the Lê–Saito Theorem (see [LS84]) by an equivalent algebraic property. They showed that  $(\nu_D)_*\mathcal{O}_{\tilde{D}} = \omega_D^0$  if and only if  $D$  is normal crossing in codimension one, that is, outside of an analytic subset of  $Y$  of codimension at least 3. The proof uses the short exact sequence

$$0 \longleftarrow \mathcal{J}_D \xleftarrow{\langle -, dh \rangle} \Theta_Y \longleftarrow \text{Der}(-\log D) \longleftarrow 0$$

obtained as the  $\mathcal{O}_Y$ -dual of the logarithmic residue sequence. It involves the Jacobian ideal  $\mathcal{J}_D$  of  $D$ , the  $\mathcal{O}_Y$ -module  $\Theta_Y := \text{Der}_{\mathbb{C}}(\mathcal{O}_Y) \cong (\Omega_Y^1)^*$  of vector fields on  $Y$  and its submodule  $\text{Der}(-\log D) \cong \Omega^1(\log D)^*$  of logarithmic vector fields along  $D$ . It is shown that  $\omega_D^0 = \mathcal{J}_D^*$  and that  $\mathcal{J}_D = (\omega_D^0)^*$  if  $D$  is a free divisor. In fact freeness of  $D$  is equivalent to  $\mathcal{J}_D$  being a Cohen–Macaulay ideal by the Aleksandrov–Terao theorem (see [Ale88, §2] and [Ter80, §2]).

As observed by first author (see [Sch16]) the inclusion  $(\nu_D)_*\mathcal{O}_{\tilde{D}} \subseteq \omega_D^0$  can be seen as  $(\nu_D)_*\omega_{\tilde{D}}^0 \hookrightarrow \omega_D^0$ . He showed that  $(\nu_X)_*\omega_{\tilde{X}}^0 = \omega_X^0$  is equivalent to  $X$  being normal crossing in codimension one for reduced equidimensional spaces  $X$  which are free in codimension one. Here freeness means Gorenstein with Cohen–Macaulay  $\omega$ -Jacobian ideal. As the latter coincides with the Jacobian ideal for complete intersections (see [Pie79, Prop. 1]), this generalizes the classical freeness of divisors which holds true in codimension one.

Multi-logarithmic differential forms generalize Saito’s logarithmic differential forms replacing hypersurfaces  $D \subseteq Y$  by subspaces  $X \subseteq Y$  of codimension  $k \geq 2$ . They were first introduced with meromorphic poles along reduced complete intersections by Aleksandrov and Tsikh (see [AT01, AT08]), later with simple poles by Aleksandrov (see [Ale12, §3]) and recently along reduced Cohen–Macaulay and reduced equidimensional spaces by Aleksandrov (see [Ale14, §10]) and by Pol (see [Pol16, §4.1]). The precise relation of the forms with simple and meromorphic poles was clarified by Pol (see [Pol16, Prop. 3.1.33]). Here we consider only multi-logarithmic forms with simple poles.

The  $\mathcal{O}_Y$ -modules  $\Omega^q(\log X/C)$  of multi-logarithmic  $q$ -forms on  $Y$  along  $X$  depend on the choice of divisors  $D_1, \dots, D_k$  defining a reduced complete intersection  $C = D_1 \cap \dots \cap D_k \subseteq Y$  such that  $X \subseteq C$ . Consider the divisor  $D = D_1 \cup \dots \cup D_k$  defined by  $h = h_1 \cdots h_k \in \mathcal{O}_Y$ . Due to Aleksandrov and Pol there is a multi-logarithmic residue sequence

$$(1.1) \quad 0 \longrightarrow \Sigma \Omega_Y^q \longrightarrow \Omega^q(\log X/C) \xrightarrow{\text{res}_{X/C}^q} \omega_X^{q-k} \longrightarrow 0$$

where  $\Sigma = \mathcal{I}_C(D)$  is obtained from the ideal  $\mathcal{I}_C$  of  $C \subseteq Y$  and  $\omega_X^p$  is the  $\mathcal{O}_X$ -module of regular meromorphic  $p$ -forms on  $X$  (see [Ale14, §10] and [Pol16, §4.1.3]). Pol introduced an  $\mathcal{O}_Y$ -module  $\text{Der}^k(-\log X/C)$  of logarithmic  $k$ -vector fields on  $Y$  along  $X$  and a kind of Jacobian ideal  $\mathcal{J}_{X/C}$  of  $X$  that fit into the short exact sequence dual to (1.1) for  $q = k$

$$(1.2) \quad 0 \longleftarrow \mathcal{J}_{X/C} \xleftarrow{\langle -, \alpha_X \rangle} \Theta_Y^k \longleftarrow \text{Der}^k(-\log X/C) \longleftarrow 0$$

where  $\Theta_Y^q = \bigwedge_{\mathcal{O}_Y}^q \Theta_Y$  and  $\begin{bmatrix} \alpha_X \\ h_1, \dots, h_k \end{bmatrix} \in \omega_X^0$  is a fundamental form of  $X$  (see [Pol16, §4.2.2-3]). Notably the duality applied here is  $-\Sigma = \text{Hom}_{\mathcal{O}_Y}(-, \Sigma)$ . Pol showed that Cohen–Macaulayness of  $\mathcal{J}_{X/C}$  serves as a further generalization of freeness. In fact the property is independent of  $C$  (see [Pol16, Prop. 4.2.21]) and  $\mathcal{J}_{X/C}$  coincides with the  $\omega$ -Jacobian ideal in case  $X$  is Gorenstein (see [Pol16, §4.2.5]). By relating  $\Sigma$ - and  $\mathcal{O}_Y$ -duality Pol established the following major result (see [Pol16, Thm. 4.2.22] or [Pol15]). In particular it generalizes Saito’s original definition of freeness to the case  $k > 1$ .

**Theorem 1.1** (Pol). *Let  $X \subseteq C \subseteq Y \cong (\mathbb{C}^n, 0)$  where  $X$  is a reduced Cohen–Macaulay germ and  $C$  a complete intersection germ, both of codimension  $k \geq 1$  in  $Y$ . Then*

$$\text{pdim}(\Omega^k(\log X/C)) \geq k - 1$$

with equality equivalent to freeness of  $X$ .

In §2 we pursue the main objective of this article: a translation of Theorem 1.1 in terms of general commutative algebra. The role of  $\mathcal{O}_Y \rightarrow \mathcal{O}_C = \mathcal{O}_Y/\mathcal{I}_C$  is played by a map of Gorenstein rings  $R \rightarrow \bar{R} = R/I$  of codimension  $k \geq 2$ . For dualizing we use

$$-^I = \text{Hom}_R(-, I), \quad -^\vee = \text{Hom}_R(-, \omega_R), \quad -^{\bar{\vee}} = \text{Hom}_{\bar{R}}(-, \bar{\omega}_R)$$

where  $\omega_R$  is a canonical module for  $R$  and  $\bar{\omega}_R = \bar{R} \otimes_R \omega_R$ , which is a canonical module for  $\bar{R}$  due to the Gorenstein hypothesis (see Notation 2.1). Modelled after the multi-logarithmic residue sequence (1.1) along  $X = C$  we define an  $I$ -free approximation of a finitely generated  $R$ -module  $M$  as a short exact sequence

$$0 \longrightarrow IF \xrightarrow{\iota} M \longrightarrow W \longrightarrow 0$$

where  $F$  is free and  $W$  is an  $\bar{R}$ -module. More precisely  $M$  plays the role of  $\Omega^q(\log X/C)(-D)$  which, as opposed to  $\Omega^q(\log X/C)$ , is independent of the choice of  $D$ . The  $I$ -dual sequence

$$0 \longleftarrow V \xleftarrow{\alpha} F^\vee \xleftarrow{\lambda} M^I \longleftarrow 0$$

plays the role of the  $\Sigma$ -dual sequence (1.2) for  $X = C$ . In Proposition 2.13 we show that  $M$  is  $I$ -reflexive if and only if  $W$  is the  $\bar{R}$ -dual of  $V$ . Our main result is

**Theorem 1.2.** *Let  $R$  be a Gorenstein local ring and let  $I$  be an ideal of  $R$  of height  $k \geq 2$  such that  $\bar{R} = R/I$  is Gorenstein. Consider an  $I$ -free approximation*

$$0 \longrightarrow IF \xrightarrow{\iota} M \xrightarrow{\rho} W \longrightarrow 0$$

of an  $I$ -reflexive finitely generated  $R$ -module  $M$  with  $W \neq 0$  and the corresponding  $I$ -dual

$$0 \longleftarrow V \xleftarrow{\alpha} F^\vee \xleftarrow{\lambda} M^I \longleftarrow 0.$$

Then  $W = V^{\bar{\vee}}$  and  $V$  is a maximal Cohen–Macaulay  $\bar{R}$ -module if and only if  $\text{G-dim}(M) \leq k - 1$ . In this latter case  $V = W^{\bar{\vee}}$  is  $(\bar{\omega}_R)$ -reflexive. Unless  $\bar{\alpha} := \bar{R} \otimes \alpha$  is injective,  $\text{G-dim}(M) \geq k - 1$ .

Due to the Gorenstein hypothesis, Theorem 1.2 applies to the complete intersection ring  $\overline{R} = \mathcal{O}_C$ , but in general not to  $\overline{R} = \mathcal{O}_X$ . In §2.5 we describe a construction to restrict the support of an  $I$ -free approximation to the locus defined by an ideal  $J \trianglelefteq R$  with  $I \subseteq J$ . Lemma 3.15 shows that it is made in a way such that the multi-logarithmic residue sequence along  $X$  is obtained from that along  $C$  by restricting with  $J = \mathcal{I}_X$ . Corollary 2.29 extends Theorem 1.2 to this generalized setup.

In §3 we apply our results to multi-logarithmic forms. We define  $\mathcal{O}_Y$ -submodules  $\text{Der}^q(-\log X) \subseteq \Theta_Y^q$  of logarithmic  $q$ -vector fields on  $Y$  along  $X$  independent of  $C$  and show that  $\text{Der}^k(-\log X) = \text{Der}^k(-\log X/C)$ . We further define Jacobian  $\mathcal{O}_X$ -modules  $\mathcal{J}_X^{n-q} \subseteq \mathcal{O}_X \otimes_{\mathcal{O}_Y} \Theta_Y^{q-k}$  of  $X$  independent of  $C$  and  $Y$  such that  $\mathcal{J}_X^{\dim X} = \mathcal{J}_{X/C}$ . The  $\Sigma$ -dual of the multi-logarithmic residue sequence reads

$$0 \longleftarrow \mathcal{J}_X^{n-q} \xleftarrow{\alpha^X} \Theta_Y^q \longleftarrow \text{Der}^q(-\log X) \longleftarrow 0$$

where  $\alpha^X$  is contraction by  $\alpha_X$ . As a consequence of Corollary 2.29 we obtain the following result which is due to Pol in case  $q = k$  (see [Pol16, Prop. 4.2.17, Thm. 4.2.22]).

**Theorem 1.3.** *Let  $X \subseteq C \subseteq Y \cong (\mathbb{C}^n, 0)$  where  $X$  is a reduced Cohen–Macaulay germ and  $C$  a complete intersection germ, both of codimension  $k \geq 2$  in  $Y$ . For  $k \leq q < n$ ,  $\omega_X^{q-k} = \text{Hom}_{\mathcal{O}_X}(\mathcal{J}_X^{n-q}, \omega_X)$  where  $\omega_X = \text{Hom}_{\mathcal{O}_C}(\mathcal{O}_X, \mathcal{O}_C)(D)$  and  $\text{pdim}(\Omega^q(\log X/C)) \geq k-1$ . Equality holds if and only if  $\mathcal{J}_X^{n-q}$  is maximal Cohen–Macaulay. In this latter case  $\mathcal{J}_X^{n-q} = \text{Hom}_{\mathcal{O}_X}(\omega_X^{q-k}, \omega_X)$  is  $\omega_X$ -reflexive.*

The analogy with the hypersurface case (see [Sai80, (1.8)]) now raises the question whether  $\mathcal{J}_X^{n-q}$  being maximal Cohen–Macaulay for  $q = k$  implies the same for all  $q > k$ . An explicit description of the Jacobian modules is given in Remark 3.25.

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## 2. RESIDUAL DUALITY OVER GORENSTEIN RINGS

For this section we fix a Cohen–Macaulay local ring  $R$  with  $n := \dim(R)$  and an ideal  $I \trianglelefteq R$  with  $k := \text{height}(I) \geq 2$  defining a Cohen–Macaulay factor ring  $\overline{R} := R/I$ . These fit into a short exact sequence

$$(2.1) \quad 0 \longrightarrow I \longrightarrow R \xrightarrow{\pi} \overline{R} \longrightarrow 0.$$

Note that (see [BH93, Thm. 2.1.2.(b), Cor. 2.1.4])

$$n - \dim(\overline{R}) = \text{grade}(I) = \text{height}(I) = k \geq 2.$$

In particular  $I$  is a regular ideal of  $R$  and hence any  $\overline{R}$ -module is  $R$ -torsion.

We assume further that  $R$  admits a canonical module  $\omega_R$ . Then also  $\overline{R}$  admits a canonical module  $\omega_{\overline{R}}$  (see [BH93, Thm. 3.3.7]).

*Notation 2.1.* Abbreviating  $\overline{\omega}_R := \overline{R} \otimes_R \omega_R$  we deal with the following functors

$$\begin{aligned} -^* &:= \text{Hom}_R(-, R), & -^\vee &:= \text{Hom}_R(-, \omega_R), \\ -^I &:= \text{Hom}_R(-, I\omega_R), & -^{\overline{\vee}} &:= \text{Hom}_R(-, \overline{\omega}_R). \end{aligned}$$

In general  $\overline{\omega}_R \not\cong \omega_{\overline{R}}$  and  $-^{\overline{\vee}}$  is not the duality of  $\overline{R}$ -modules. For an  $\overline{R}$ -module  $N$ ,  $N^* = \text{Hom}_{\overline{R}}(N, \overline{R})$  but  $N^\vee$  means either  $\text{Hom}_R(N, \omega_R)$  or  $\text{Hom}_{\overline{R}}(N, \omega_{\overline{R}})$ , depending on the context. For  $R$ -modules  $M$  and  $N$ , we denote the canonical evaluation map by

$$\delta_{M,N}: M \rightarrow \text{Hom}_R(\text{Hom}_R(M, N), N), \quad m \mapsto (\varphi \mapsto \varphi(m)).$$

Whenever applicable we use an analogous notation for  $\overline{R}$ -modules. We denote canonical isomorphisms as equalities.

**Lemma 2.2.** *Let  $N$  be an  $\overline{R}$ -module. Then  $\text{Ext}_R^i(N, \omega_R) = 0$  for  $i < k$  and  $N^I = 0$ .*

*Proof.* The first vanishing is due to Ischebeck's Lemma (see [HK71, Satz 1.9]), the second holds because  $\omega_R$  and hence  $I\omega_R$  is torsion free (see [BH93, Thm. 2.1.2.(c)]) whereas  $N$  is torsion.  $\square$

### 2.1. $I$ -duality and $I$ -free approximation.

**Lemma 2.3.** *There is a canonical identification  $\omega_R = I^I$  and a canonical inclusion  $I \hookrightarrow \omega_R^I$ . They combine to the map  $\delta_{I, I\omega_R}: I \rightarrow I^{II}$  which is an isomorphism if  $R$  is Gorenstein.*

*Proof.* Applying  $-\vee$  to (2.1) and  $\text{Hom}_R(I, -)$  to  $I\omega_R \hookrightarrow \omega_R$  yields an exact sequence with a commutative triangle

$$(2.2) \quad \begin{array}{ccccccc} \text{Ext}_R^1(\overline{R}, \omega_R) & \longleftarrow & I^\vee & \longleftarrow & \omega_R & \longleftarrow & \overline{R}^\vee \longleftarrow 0 \\ & & \uparrow & \swarrow \mu & & & \\ & & I^I & & & & \end{array}$$

The diagonal map sends  $\varepsilon \in \omega_R$  to the multiplication map  $\mu(\varepsilon): I \rightarrow I\omega_R$ ,  $x \mapsto x \cdot \varepsilon$ . With Lemma 2.2 it follows that  $\omega_R = I^\vee = I^I$ .

There is an isomorphism  $R \cong \text{End}_R(\omega_R)$  sending each element to the corresponding multiplication map (see [BH93, Thm. 3.3.4.(d)]). Applying  $\text{Hom}_R(\omega_R, -)$  to  $I\omega_R \hookrightarrow \omega_R$  yields a commutative square

$$(2.3) \quad \begin{array}{ccc} R & \xrightarrow{\cong} & \text{End}_R(\omega_R) \\ \uparrow & & \uparrow \\ I & \xrightarrow{\delta'} & \omega_R^I \end{array}$$

If  $R$  is Gorenstein, then  $\omega_R^I = \text{Hom}_R(R, I) = I$  and  $\delta'$  is an isomorphism.

Combined with the above identification  $\omega_R = I^I$ ,  $\delta'$  defines a map  $\delta: I \rightarrow I^{II}$ . Since

$$\delta(x)(\mu(\varepsilon)) = \delta'(x)(\varepsilon) = x \cdot \varepsilon = \mu(\varepsilon)(x) = \delta_{I, I\omega_R}(x)(\mu(\varepsilon))$$

for all  $x \in I$  and  $\varepsilon \in \omega_R$ , in fact  $\delta = \delta_{I, I\omega_R}$ .  $\square$

**Definition 2.4.** If  $F$  is a free  $R$ -module, then we call  $IF = I \otimes_R F$  an  $I$ -free module. An  $R$ -module  $M$  is called  $I$ -reflexive if  $\delta_{M, I\omega_R}: M \rightarrow M^{II}$  is an isomorphism.

**Proposition 2.5.** *Let  $F$  be a free  $R$ -module  $F$ . Then  $F^\vee = (IF)^I$  by restriction. The adjunction map  $IF \rightarrow F^{\vee I}$  is induced by the isomorphism  $\delta_{F, \omega_R}$  and identifies with  $\delta_{IF, I\omega_R}$ . In case  $R$  is Gorenstein,  $IF$  is  $I$ -reflexive.*

*Proof.* Applying  $\text{Hom}_R(F, -)$  to  $\mu$  in (2.2) yields  $F^\vee = (IF)^I$  by Hom-tensor adjunction.

Applying  $F \otimes_R -$  to (2.3) yields a commutative square

$$\begin{array}{ccc} F & \xrightarrow[\cong]{\delta_{F, \omega_R}} & F^{\vee \vee} \\ \uparrow & & \uparrow \\ IF & \longrightarrow & F^{\vee I} \end{array}$$

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where the bottom row is adjunction. In fact, using Lemma 2.3,

$$\begin{aligned}
IF &= I \otimes_R F \rightarrow F \otimes_R \omega_R^I = F \otimes_R \operatorname{Hom}_R(\omega_R, I\omega_R) \\
&= \operatorname{Hom}_R(F \otimes_R \omega_R, I\omega_R) \\
&= \operatorname{Hom}_R(F \otimes_R \operatorname{Hom}_R(R, \omega_R), I\omega_R) \\
&= \operatorname{Hom}_R(\operatorname{Hom}_R(F \otimes_R R, \omega_R), I\omega_R) \\
&= \operatorname{Hom}_R(\operatorname{Hom}_R(F, \omega_R), I\omega_R) = F^{\vee I}, \\
x \cdot e &\mapsto (\psi \mapsto x \cdot \psi(e)).
\end{aligned}$$

Identifying  $F^\vee = (IF)^I$  using Lemma 2.3 yields with the map  $\mu$  in diagram (2.2)

$$\varepsilon = \psi(e) \leftrightarrow \mu(\varepsilon) \implies x \cdot \psi(e) = x \cdot \varepsilon = \mu(\varepsilon)(x).$$

Adjunction thus becomes identified with  $\delta_{IF, I\omega_R}$ . The last claim is due to Lemma 2.3.  $\square$

**Definition 2.6.** Let  $M$  be a finitely generated  $R$ -module. We call a short exact sequence

$$(2.4) \quad 0 \longrightarrow IF \xrightarrow{\iota} M \xrightarrow{\rho} W \longrightarrow 0$$

where  $F$  is free and  $IW = 0$  an  $I$ -free approximation of  $M$  with support  $\operatorname{Supp}(W)$ . We consider  $W$  as an  $\overline{R}$ -module. The inclusion map  $\iota: IF \hookrightarrow F = M$  defines the *trivial  $I$ -free approximation*

$$0 \longrightarrow IF \longrightarrow F \longrightarrow F/IF \longrightarrow 0.$$

A *morphism of  $I$ -free approximations* is a morphism of short exact sequences.

**Lemma 2.7.** For any  $I$ -free approximation (2.4),  $\iota$  fits into a unique commutative triangle

$$(2.5) \quad \begin{array}{ccc} & & F \\ & \nearrow & \uparrow \kappa \\ IF & \xrightarrow{\iota} & M \end{array}$$

If  $\iota^{-1}$  denotes the choice of any preimage under  $\iota$ , then  $\kappa(m) = \iota^{-1}(xm)/x$  for any  $x \in I \cap R^{\operatorname{reg}}$ . If  $M$  is maximal Cohen–Macaulay, then  $\kappa$  is surjective. In particular, (2.4) becomes trivial if in addition  $\kappa$  is injective.

*Proof.* Applying  $\operatorname{Hom}_R(-, F)$  to (2.4) yields

$$\operatorname{Ext}_R^1(W, F) \longleftarrow \operatorname{Hom}_R(IF, F) \xleftarrow{\iota^*} \operatorname{Hom}_R(M, F) \longleftarrow \operatorname{Hom}_R(W, F) \longleftarrow 0.$$

By Ischebeck’s Lemma (see [HK71, Satz 1.9]),  $\operatorname{Ext}_R^1(W, F) = 0 = \operatorname{Hom}_R(W, F)$  making  $\iota^*$  an isomorphism. Then  $\kappa$  is the preimage of the canonical inclusion  $IF \hookrightarrow F$  under  $\iota^*$ . The formula for  $\kappa$  follows immediately.

Since  $\operatorname{coker}(\kappa)$  is a homomorphic image of  $F/IF$ ,  $\dim(\operatorname{coker}(\kappa)) \leq n - k \leq n - 2$ . If  $M$  is maximal Cohen–Macaulay, then  $\operatorname{depth}(\operatorname{coker}(\kappa)) \geq n - 1$  by the Depth Lemma (see [BH93, Prop. 1.2.9]). This forces  $\operatorname{coker}(\kappa) = 0$  (see [BH93, Prop. 1.2.13]) and makes  $\kappa$  surjective.  $\square$

By functoriality of the cokernel, any  $\varphi \in F^\vee$  gives rise to a commutative diagram

$$(2.6) \quad \begin{array}{ccccccc} 0 & \longrightarrow & I\omega_R & \longrightarrow & \omega_R & \xrightarrow{\pi_\omega} & \bar{\omega}_R \longrightarrow 0 \\ & & \uparrow & & \uparrow \varphi & & \uparrow \bar{\varphi} \\ & & \varphi|_{IF} & \nearrow & F & & \\ 0 & \longrightarrow & IF & \xrightarrow{\iota} & M & \xrightarrow{\rho} & W \longrightarrow 0 \\ & & & & \uparrow \kappa & & \end{array}$$

with top exact row induced by (2.1) and bottom row (2.4). This defines a map

$$(2.7) \quad \begin{array}{ccc} W^\vee & \longleftarrow & F^\vee \\ \bar{\varphi} & \longleftarrow & \varphi. \end{array}$$

Applying  $\text{Hom}_R(F, -)$  to the upper row of (2.6) yields a short exact sequence

$$(2.8) \quad 0 \longrightarrow F^I \longrightarrow F^\vee \longrightarrow F^{\bar{\vee}} \longrightarrow 0.$$

By Lemma 2.2 applying  $-^I$  to (2.4) and (2.5) yields the exact diagonal sequence and the triangle of inclusions with vertex  $F^I$  in the following commutative diagram.

$$(2.9) \quad \begin{array}{ccccccc} 0 & \longleftarrow & V & \xleftarrow{\bar{\alpha}} & F^{\bar{\vee}} & \xleftarrow{\bar{\lambda}} & M^I/F^I \longleftarrow 0 \\ & & \parallel & & \uparrow & & \uparrow \\ 0 & \longleftarrow & V & \xleftarrow{\alpha} & F^\vee & \xleftarrow{\lambda} & M^I \longleftarrow 0 \\ & & \downarrow & & \parallel & \nearrow \iota^I & \uparrow \kappa^I \\ & & & & (IF)^I & \longleftarrow & F^I \\ & & & & \searrow & & \\ & & & & \text{Ext}_R^1(W, I\omega_R) & & \end{array}$$

By Proposition 2.5, the identification  $F^\vee = (IF)^I$  in diagram (2.9) is given by

$$\varphi \leftrightarrow \varphi|_{IF} = \varphi \circ \kappa \circ \iota$$

in diagram (2.6). It defines the map  $\lambda$  with cokernel  $\alpha$ . For  $\psi \in M^I$ ,  $\lambda(\psi)$  is defined by

$$\lambda(\psi)|_{IF} = \psi \circ \iota.$$

With  $\text{Ext}_R^1(W, I\omega_R)$  also  $V$  is an  $\bar{R}$ -module. Using (2.8) the Snake Lemma yields the short exact upper row of (2.9). By Lemma 2.2 the commutative square  $\text{Hom}_R(IF \hookrightarrow M, I\omega_R \hookrightarrow \omega_R)$  reads

$$\begin{array}{ccc} (IF)^I & \xleftarrow{\iota^I} & M^I \\ \downarrow & & \downarrow \\ (IF)^\vee & \xleftarrow[\cong]{\iota^\vee} & M^\vee. \end{array}$$

This allows one to check equalities of maps  $M \rightarrow \omega_R$  after precomposing with  $\iota$ . It follows that

$$(2.10) \quad \varphi \circ \kappa \in M^I \iff \varphi \in \lambda(M^I) \implies \varphi = \lambda(\varphi \circ \kappa)$$

for any  $\varphi \in F^\vee$ .

**Definition 2.8.** We call the middle row

$$(2.11) \quad 0 \longleftarrow V \xleftarrow{\alpha} F^\vee \xleftarrow{\lambda} M^I \longleftarrow 0$$

of diagram (2.9) the  $I$ -dual of the  $I$ -free approximation (2.4). We set

$$(2.12) \quad W' := \text{Ext}_R^1(V, I\omega_R).$$

**Lemma 2.9.** For any  $I$ -free approximation (2.4) the map (2.7) factors through the map  $\alpha$  in (2.9) defining an inclusion  $\nu: V \rightarrow W^\vee$ , that is,

$$\begin{array}{ccc} W^\vee & \xleftarrow{\nu} & V \xleftarrow{\alpha} F^\vee, \\ \bar{\varphi} & \longleftarrow & \varphi. \end{array}$$

*Proof.* By diagrams (2.6) and (2.9), equivalence (2.10) and exactness properties of  $\text{Hom}$ ,

$$\bar{\varphi} = 0 \iff \bar{\varphi} \circ \rho = 0 \iff \varphi \circ \kappa \in M^I \iff \varphi \in \lambda(M^I) \iff \alpha(\varphi) = 0. \quad \square$$

*Remark 2.10.* By Lemma 2.2 applying  $\text{Hom}_R(W, -)$  to the upper row of diagram (2.6) yields

$$W^\vee = \text{coker } \text{Hom}_R(W, \pi_\omega) \cong \text{Ext}_R^1(W, I\omega_R).$$

The inclusion of  $V$  in the latter in diagram (2.9) uses  $\text{coker } \iota^I \hookrightarrow \text{Ext}_R^1(W, I\omega_R)$ . The relation with the inclusion  $\nu$  in Lemma 2.9 is clarified by the double complex obtained by applying  $\text{Hom}_R(-, -)$  to (2.4) and the upper row of (2.6). By Lemma 2.2 it expands to a commutative diagram with exact rows and columns

$$\begin{array}{ccccccc} & & 0 & & 0 & & \\ & & \downarrow & & \downarrow & & \\ \text{Ext}_R^1(W, I\omega_R) & \longleftarrow & (IF)^I & \xleftarrow{\iota^I} & M^I & \longleftarrow & 0 \\ & \downarrow & \downarrow & & \downarrow & & \downarrow \\ 0 & \longleftarrow & (IF)^\vee & \xleftarrow{\iota^\vee} & M^\vee & \longleftarrow & 0 \\ & & \downarrow & & \downarrow & & \downarrow \\ & & (IF)^\vee & \longleftarrow & M^\vee & \longleftarrow & W^\vee \longleftarrow 0 \\ & & & & & & \downarrow \\ & & & & & & \cong \\ & & & & & & \text{Ext}_R^1(W, I\omega_R). \end{array}$$

An element  $\alpha(\varphi) \in V$  with  $\varphi \in F^\vee$  maps to  $\varphi|_{IF} \in (IF)^I$ , to  $\varphi \circ \kappa \in M^\vee$  and to  $\bar{\varphi} \in W^\vee$ .

**2.2.  $I$ -reflexivity over Gorenstein rings.** In this subsection we assume that  $R$  is Gorenstein and study  $I$ -reflexivity of modules  $M$  in terms of an  $I$ -free approximation (2.4). With the Gorenstein hypothesis  $F^\vee$  is free and hence

$$(2.13) \quad \text{Ext}_R^1(F^\vee, -) = 0.$$

**Proposition 2.11.** Assume that  $R$  is Gorenstein. For any  $I$ -free approximation (2.4) and  $W'$  as in (2.12) there is a commutative square

$$\begin{array}{ccc} M & \xrightarrow{\rho} & W \\ \downarrow \delta_{M, I\omega_R} & & \downarrow \bar{\delta} \\ M^{II} & \xrightarrow{\rho'} & W' \end{array}$$

and  $\bar{\delta}$  is an isomorphism if and only if  $M$  is  $I$ -reflexive.

*Proof.* Consider the following commutative diagram whose rows are (2.4) and obtained by applying  $-^I$  to the triangle with vertex  $F^\vee$  in diagram (2.9).

$$(2.14) \quad \begin{array}{ccccccc} & & & F & & & \\ & & & \uparrow \kappa & & & \\ 0 & \longrightarrow & IF & \xrightarrow{\iota} & M & \xrightarrow{\rho} & W \longrightarrow 0 \\ & & \cong \downarrow \delta_{IF, I\omega_R} & & \downarrow \delta_{M, I\omega_R} & & \downarrow \bar{\delta} \\ 0 & \longrightarrow & (IF)^{II} & \xrightarrow{\iota^{II}} & M^{II} & \xrightarrow{\rho'} & W' \longrightarrow 0 \\ & & \parallel & \nearrow \lambda^I & & & \\ & & F^{\vee I} & \longrightarrow & F^{\vee \vee} & & \end{array} \cong \delta_{F, \omega_R}$$

The latter is a short exact sequence by Lemma 2.2 and (2.13). The commutative squares in diagram (2.14) are due to functoriality of  $\delta$  and the cokernel. The claimed equivalence then follows from the Snake Lemma. Proposition 2.5 yields the part of diagram (2.14) involving  $\delta_{F, \omega_R}$ . This part is just added for clarification but not needed for the proof.  $\square$

**Lemma 2.12.** *Assume that  $R$  is Gorenstein and consider an  $I$ -free approximation (2.4). Then the maps  $\nu$  from Lemma 2.9 and  $\bar{\delta}$  from Proposition 2.11 fit into a commutative square*

$$\begin{array}{ccc} W & \xrightarrow{\delta_{W, \bar{\omega}_R}} & W^{\vee \vee} \\ \downarrow \bar{\delta} & & \downarrow \nu^{\vee} \\ W' & \xleftarrow[\cong]{\xi} & V^{\vee}. \end{array}$$

*Proof.* Consider the double complex obtained by applying  $\text{Hom}_R(-, -)$  to the middle and top rows of diagrams (2.9) and (2.6). By Lemma 2.2 and (2.13) it expands to a commutative diagram with exact rows and columns

$$\begin{array}{ccccccc} & & 0 & & 0 & & \\ & & \downarrow & & \downarrow & & \\ 0 & \longrightarrow & F^{\vee I} & \xrightarrow{\lambda^I} & M^{II} & \xrightarrow{\rho'} & W' \longrightarrow 0 \\ \downarrow & & \downarrow & & \downarrow & & \downarrow \\ 0 & \longrightarrow & F^{\vee \vee} & \xrightarrow{\lambda^{\vee}} & M^{I \vee} & \longrightarrow & 0 \\ \downarrow & & \downarrow (\pi_\omega)_* & & \downarrow & & \\ 0 & \longrightarrow & V^{\vee} & \xrightarrow{\alpha^{\vee}} & F^{\vee \vee} & \xrightarrow{\lambda^{\vee}} & M^{I \vee} \\ & & & & \downarrow & & \\ & & & & 0 & & \end{array}$$

The Snake Lemma yields an isomorphism  $\xi: V^\nabla \rightarrow W'$ . Attaching the square of Proposition 2.11, the relation  $\bar{\delta}(w) = \xi(\tilde{\psi})$  is given by the diagram chase

$$\begin{array}{ccc}
& m & \xrightarrow{\quad} & w \\
& \downarrow & & \downarrow \\
& \delta_{M, I\omega_R}(m) & \xrightarrow{\quad} & \bar{\delta}(w) \\
& \downarrow & & \\
& \psi & \xrightarrow{\quad} & \psi \circ \lambda = \delta_{M, I\omega_R}(m) \\
& \downarrow & & \\
\tilde{\psi} & \xrightarrow{\quad} & \tilde{\psi} \circ \alpha = \pi_\omega \circ \psi.
\end{array}$$

Using implication (2.10), diagram (2.6) and Lemma 2.9, one deduces that, with  $x \in I \cap R^{\text{reg}}$  and  $v = \alpha(\varphi)$ ,

$$\begin{aligned}
x\varphi \circ \kappa \in M^I &\implies x\varphi = \lambda(x\varphi \circ \kappa) \\
&\implies x\psi(\varphi) = \psi(x\varphi) = (\psi \circ \lambda)(x\varphi \circ \kappa) = \delta_{M, I\omega_R}(m)(x\varphi \circ \kappa) = x(\varphi \circ \kappa)(m) \\
&\implies \psi(\varphi) = (\varphi \circ \kappa)(m) \\
&\implies \tilde{\psi}(v) = (\tilde{\psi} \circ \alpha)(\varphi) = (\pi_\omega \circ \psi)(\varphi) = (\pi_\omega \circ \varphi \circ \kappa)(m) = \bar{\varphi}(w) \\
&= (\nu \circ \alpha)(\varphi)(w) = \nu(\alpha(\varphi))(w) = \nu(v)(w) \\
&= \delta_{W, \bar{\omega}_R}(w)(\nu(v)) = \nu^\nabla(\delta_{W, \bar{\omega}_R}(w))(v) = (\nu^\nabla \circ \delta_{W, \bar{\omega}_R})(w)(v) \\
&\implies \tilde{\psi} = (\nu^\nabla \circ \delta_{W, \bar{\omega}_R})(w) \\
&\implies \bar{\delta}(w) = \xi(\tilde{\psi}) = (\xi \circ \nu^\nabla \circ \delta_{W, \bar{\omega}_R})(w) \\
&\implies \bar{\delta} = \xi \circ \nu^\nabla \circ \delta_{W, \bar{\omega}_R}. \quad \square
\end{aligned}$$

**Proposition 2.13.** *Assume that  $R$  is Gorenstein and consider an  $I$ -free approximation (2.4). Then  $M$  is  $I$ -reflexive if and only if the map  $\nu^\nabla \circ \delta_{W, \bar{\omega}_R}$  with  $\nu$  from Lemma 2.9 identifies  $W = V^\nabla$ .*

*Proof.* The claim follows from Proposition 2.11 and Lemma 2.12.  $\square$

**Lemma 2.14.** *Assume that  $R$  is Gorenstein and consider an  $I$ -free approximation (2.4). Then the map  $\nu$  from Lemma 2.9 fits into a commutative diagram*

$$\begin{array}{ccc}
W^\nabla & \xleftarrow{\quad \nu \quad} & V \\
\delta_{W, \bar{\omega}_R}^\nabla \uparrow & \swarrow (\nu^\nabla \circ \delta_{W, \bar{\omega}_R})^\nabla & \downarrow \delta_{V, \bar{\omega}_R} \\
W^{\nabla\nabla\nabla} & \xleftarrow{\quad \nu^{\nabla\nabla} \quad} & V^{\nabla\nabla}.
\end{array}$$

*Proof.* For any  $v \in V$  and  $w \in W$  we have

$$\begin{aligned}
(\delta_{W, \bar{\omega}_R}^\nabla \circ \nu^{\nabla\nabla} \circ \delta_{V, \bar{\omega}_R})(v)(w) &= \delta_{W, \bar{\omega}_R}^\nabla(\nu^{\nabla\nabla}(\delta_{V, \bar{\omega}_R}(v)))(w) = \delta_{W, \bar{\omega}_R}^\nabla(\delta_{V, \bar{\omega}_R}(v) \circ \nu^\nabla)(w) \\
&= (\delta_{V, \bar{\omega}_R}(v) \circ \nu^\nabla)(\delta_{W, \bar{\omega}_R}(w)) = \delta_{V, \bar{\omega}_R}(v)(\delta_{W, \bar{\omega}_R}(w) \circ \nu) \\
&= \delta_{W, \bar{\omega}_R}(w)(\nu(v)) = \nu(v)(w)
\end{aligned}$$

and hence  $\nu = \delta_{W, \bar{\omega}_R}^\nabla \circ \nu^{\nabla\nabla} \circ \delta_{V, \bar{\omega}_R}$  as claimed.  $\square$

**Corollary 2.15.** *Assume that  $R$  is Gorenstein and consider an  $I$ -free approximation (2.4) of an  $I$ -reflexive  $R$ -module  $M$ . Then  $V$  in diagram (2.9) is  $(\overline{\omega}_R)$ -reflexive if and only if  $\nu$  in Lemma 2.9 identifies  $V = W^\vee$ .*

*Proof.* The claim follows from Proposition 2.13 and Lemma 2.14.  $\square$

**2.3.  $R$ -dual  $I$ -free approximation.** In this subsection we consider the  $R$ -dual of an  $I$ -free approximation (2.4). The interesting part of the long exact Ext-sequence of  $-\vee$  applied to (2.4) turns out to be

$$(2.15) \quad 0 \leftarrow \text{Ext}_R^k(M, \omega_R) \leftarrow \text{Ext}_R^k(W, \omega_R) \xleftarrow{\beta} \text{Ext}_R^{k-1}(IF, \omega_R) \leftarrow \text{Ext}_R^{k-1}(M, \omega_R) \leftarrow 0.$$

In fact, applying  $-\vee$  to (2.1) yields (see Lemma 2.17 and [BH93, Thm. 3.3.10.(c).(ii)])

$$\text{Ext}_R^i(IF, \omega_R) = F^* \otimes_R \text{Ext}_R^i(I, \omega_R) = F^* \otimes_R \text{Ext}_R^{i+1}(\overline{R}, \omega_R) = 0 \text{ for } i \neq 0, k-1.$$

In case both  $R$  and  $\overline{R}$  are Gorenstein, we will identify the map  $\beta$  to its image with the map  $\overline{\alpha}$  in (2.9) (see Corollary 2.21). In §2.4 this fact will serve to relate the Gorenstein dimension of  $M$  to the depth of  $V$ .

In order to describe the map  $\beta$  in (2.15) we fix a canonical module  $\omega_R$  of  $R$  with an injective resolution  $(E^\bullet, \partial^\bullet)$ ,

$$0 \longrightarrow \omega_R \longrightarrow E^0 \xrightarrow{\partial^0} E^1 \xrightarrow{\partial^1} E^2 \xrightarrow{\partial^2} \dots$$

We use it to fix representatives

$$\text{Ext}_R^i(-, \omega_R) := H^i \text{Hom}_R(-, E^\bullet).$$

Then (see [BH93, Thms. 3.3.7.(b), 3.3.10.(c).(ii)])

$$(2.16) \quad H^i \text{Ann}_{E^\bullet}(I) = H^i \text{Hom}(\overline{R}, E^\bullet) = \text{Ext}_R^i(\overline{R}, \omega_R) = \delta_{i,k} \cdot \omega_{\overline{R}}$$

where

$$\omega_{\overline{R}} := H^k \text{Ann}_{E^\bullet}(I)$$

is a canonical module of  $\overline{R}$ .

In the sequel we explicit the maps of the following commutative diagram

$$(2.17) \quad \begin{array}{ccc} \text{Ext}_R^k(W, \omega_R) & \xleftarrow{\beta} & \text{Ext}_R^{k-1}(IF, \omega_R) \\ & & \cong \uparrow \chi \\ & & F^* \otimes_R \text{Ext}_R^{k-1}(I, \omega_R) \\ & & \cong \uparrow F^* \otimes H^{k-1}(\tau^\bullet) \\ & & F^* \otimes_R H^{k-1}(E^\bullet / \text{Ann}_{E^\bullet}(I)) \\ & & \cong \downarrow F^* \otimes \zeta \\ \text{Hom}_{\overline{R}}(W, \omega_{\overline{R}}) = W^\vee & \xleftarrow{\nu} V' \xleftarrow{\alpha'} & F^* \otimes_R \omega_{\overline{R}} \end{array}$$

which defines the map  $\nu' \circ \alpha'$  and its image  $V'$ . The maps  $\tau^\bullet$ ,  $\chi$ ,  $\zeta$ ,  $\gamma$  and  $\alpha'$  are described in Lemmas 2.16, 2.17, 2.18, 2.19 and Proposition 2.20 respectively.

**Lemma 2.16.** *For any injective  $R$ -module  $E$  there is a canonical isomorphism*

$$\tau: E / \text{Ann}_E(I) \rightarrow \text{Hom}_R(I, E), \quad \overline{e} \mapsto - \cdot e = (x \mapsto x \cdot e).$$

*In particular, there is a canonical isomorphism  $\tau^\bullet: E^\bullet / \text{Ann}_{E^\bullet}(I) \rightarrow \text{Hom}_R(I, E^\bullet)$ .*

*Proof.* Applying the exact functor  $\text{Hom}_R(-, E)$  to (2.1) yields a short exact sequence

$$0 \leftarrow \text{Hom}_R(I, E) \leftarrow \text{Hom}_R(R, E) \leftarrow \text{Hom}_R(\overline{R}, E) \leftarrow 0.$$

Identifying  $E = \text{Hom}_R(R, E)$ ,  $e \mapsto - \cdot e$ , and hence

$$(2.18) \quad \text{Hom}_R(\overline{R}, E) = \text{Ann}_E(I)$$

yields the claim.  $\square$

**Lemma 2.17.** *For any  $i \in \mathbb{N}$  there is a canonical isomorphism*

$$\begin{aligned} \chi_i: F^* \otimes_R \text{Ext}_R^i(I, \omega_R) &= F^* \otimes_R H^i \text{Hom}_R(I, E^\bullet) \rightarrow H^i \text{Hom}_R(IF, E^\bullet) = \text{Ext}_R^i(IF, \omega_R), \\ \varphi \otimes [\psi] &\mapsto [\varphi|_{IF} \cdot \tilde{\psi}(1)] = [(\kappa \circ \iota)^*(\varphi) \cdot \tilde{\psi}(1)] \end{aligned}$$

where  $\tilde{\psi} \in \text{Hom}_R(R, E^\bullet)$  extends  $\psi \in \text{Hom}_R(I, E^\bullet)$ . We set  $\chi := \chi_{k-1}$ .

*Proof.* For any  $i \in \mathbb{N}$  there is a sequence of canonical isomorphisms

$$\begin{aligned} F^* \otimes_R H^i \text{Hom}_R(I, E^\bullet) &= \text{Hom}_R(F, H^i \text{Hom}_R(I, E^\bullet)) \\ &= H^i \text{Hom}_R(F, \text{Hom}_R(I, E^\bullet)) \\ &= H^i \text{Hom}_R(IF, E^\bullet), \end{aligned}$$

the latter one being Hom-tensor adjunction, sending

$$\begin{aligned} \varphi \otimes [\psi] &\mapsto (f \mapsto \varphi(f) \cdot [\psi] = [\varphi(f) \cdot \psi]) \\ &\mapsto [f \mapsto \varphi(f) \cdot \psi] \\ &\mapsto [x \cdot f \mapsto \varphi(f) \cdot \psi(x) = \varphi(x \cdot f) \cdot \tilde{\psi}(1)] = [\varphi|_{IF} \cdot \tilde{\psi}(1)] \end{aligned}$$

where  $x \in I$  and  $f \in F$ .  $\square$

**Lemma 2.18.** *There is a connecting isomorphism*

$$\begin{aligned} \zeta: H^{k-1}(E^\bullet / \text{Ann}_{E^\bullet}(I)) &\rightarrow H^k \text{Ann}_{E^\bullet}(I) = \omega_{\overline{R}}, \\ [\bar{e}] &\mapsto [\partial^{k-1}(e)]. \end{aligned}$$

*Proof.* The connecting homomorphism  $\zeta$  in degree  $k$  of the short exact sequence

$$0 \rightarrow \text{Ann}_{E^\bullet}(I) \rightarrow E^\bullet \rightarrow E^\bullet / \text{Ann}_{E^\bullet}(I) \rightarrow 0$$

is an isomorphism since  $E^\bullet$  is a resolution and hence  $H^i(E^\bullet) = 0$  for  $i \geq k - 1 \geq 1$ .  $\square$

**Lemma 2.19.** *For any  $\overline{R}$ -module  $N$  there is a canonical isomorphism*

$$\begin{aligned} \gamma: H^k \text{Hom}_R(N, E^\bullet) &\rightarrow \text{Hom}_{\overline{R}}(N, H^k \text{Ann}_{E^\bullet}(I)) = N^\vee, \\ [\phi] &\mapsto (n \mapsto [\phi(n)]). \end{aligned}$$

*Proof.* Fix an  $\overline{R}$ -projective resolution  $(P_\star, \delta_\star)$  of  $N$  and consider the double complex

$$A^{\star, \bullet} := \text{Hom}_R(P_\star, E^\bullet) = \text{Hom}_{\overline{R}}(P_\star, \text{Hom}_R(\overline{R}, E^\bullet)) = \text{Hom}_{\overline{R}}(P_\star, \text{Ann}_{E^\bullet}(I))$$

whose alternate representation is due to Hom-tensor adjunction and (2.18). It yields two spectral sequences with the same limit. By exactness of  $\text{Hom}_{\overline{R}}(P_\star, -)$  and (2.16) and using the alternate representation the  $E_2$ -page of the first spectral sequence identifies with

$${}^I E_2^{p,q} = H^p(H^{s,q}(A^{\star, \bullet})) = H^p \text{Hom}_{\overline{R}}(P_\star, H^q \text{Ann}_{E^\bullet}(I)) = \delta_{k,q} \cdot H^p \text{Hom}_{\overline{R}}(P_\star, \omega_{\overline{R}}).$$

By exactness of  $\text{Hom}_R(-, E^\bullet)$  the  $E_2$ -page of the second spectral sequence reads

$${}^{II} E_2^{p,q} = H^q(H^{p, \bullet}(A^{\star, \bullet})) = H^q \text{Hom}_R(H^p P_\star, E^\bullet) = \delta_{p,0} \cdot H^q \text{Hom}_R(N, E^\bullet).$$



By Proposition 2.20 this map coincides with  $\nu' \circ \alpha'$  subject to the above identifications. This shows that  $\alpha' = \bar{\alpha}$  and  $V' = V$ . By the exact sequence (2.15), the commutative diagram (2.17) and the exact upper row of diagram (2.9),

$$\begin{aligned}\mathrm{Ext}_R^{k-1}(M, R) &= \ker(\beta) \cong \ker(\alpha') = \ker(\bar{\alpha}) = M^I / F^I, \\ \mathrm{Ext}_R^k(M, R) &= \mathrm{coker}(\beta) \cong \mathrm{coker}(\nu') = W^\vee / \nu'(V').\end{aligned}$$

In particular  $\mathrm{Ext}_R^k(M, R) = 0$  if and only if  $\nu'$  identifies  $V' = W^\vee$  or, equivalently, if  $\nu$  identifies  $V = W^\vee$ . The particular claim now follows with Corollary 2.15.  $\square$

**2.4. Projective dimension and residual depth.** Assume that  $R$  is Gorenstein. Then every finitely generated  $R$ -module  $M$  has finite Gorenstein dimension  $\mathrm{G-dim}(M) < \infty$  (see [Maş00, Thm. 17]). Recall that if  $M$  has finite projective dimension  $\mathrm{pdim}(M) < \infty$ , then  $\mathrm{G-dim}(M) = \mathrm{pdim}(M)$  (see [Maş00, Cor. 21]). Consider an  $I$ -free approximation (2.4) of an  $R$ -module  $M$ . In the following we relate the case of minimal Gorenstein dimension of  $M$  to Cohen–Macaulayness of  $V$ , proving our main result.

**Lemma 2.22.** *Assume that  $R$  is Gorenstein and consider an  $I$ -free approximation (2.4) with  $W \neq 0$ . Then  $W$  is a maximal Cohen–Macaulay  $\bar{R}$ -module if and only if  $\mathrm{G-dim}(M) \leq k$ . In this case  $\mathrm{G-dim}(M) \leq k - 1$  if and only if  $\mathrm{Ext}_R^k(M, R) = 0$ . If  $\bar{R}$  is Gorenstein, then  $\mathrm{G-dim}(M) \geq k - 1$  unless  $\bar{\alpha}$  in diagram (2.9) is injective.*

*Proof.* By hypothesis  $M \neq 0$  is finitely generated over the Gorenstein ring  $R$ . It follows that (see [Maş00, Thm. 17, Lem. 23.(c)])

$$(2.19) \quad \mathrm{G-dim}(M) = \max \{i \in \mathbb{N} \mid \mathrm{Ext}_R^i(M, R) \neq 0\} < \infty.$$

The Auslander–Bridger Formula (see [Maş00, Thm. 29]) then states that

$$(2.20) \quad \mathrm{depth}(M) = \mathrm{depth}(R) - \mathrm{G-dim}(M) = \dim(R) - \mathrm{G-dim}(M) = n - \mathrm{G-dim}(M).$$

By the Depth Lemma (see [BH93, Prop. 1.2.9]) applied to the short exact sequence (2.1)

$$\begin{aligned}n - k + 1 = \mathrm{depth}(\bar{R}) + 1 &\geq \min \{\mathrm{depth}(R), \mathrm{depth}(I) - 1\} + 1 = \mathrm{depth}(I) \\ &\geq \min \{\mathrm{depth}(R), \mathrm{depth}(\bar{R}) + 1\} = n - k + 1\end{aligned}$$

and hence

$$(2.21) \quad \mathrm{depth}(IF) = \mathrm{depth}(I) = n - k + 1.$$

( $\implies$ ) Using (2.21) and (2.20) the Depth Lemma applied to the short exact sequence (2.4) gives

$$\mathrm{G-dim}(M) = n - \mathrm{depth}(M) \leq n - \min \{\mathrm{depth}(IF), \mathrm{depth}(W)\} \leq n - (n - k) = k.$$

( $\impliedby$ ) Using (2.20) and (2.21) the Depth Lemma applied to the short exact sequence (2.4) gives

$$n - k = \dim(\bar{R}) \geq \dim(W) \geq \mathrm{depth}(W) \geq \min \{\mathrm{depth}(M), \mathrm{depth}(IF) - 1\} \geq n - k.$$

By (2.19) this latter inequality becomes  $\mathrm{G-dim}(M) \leq k - 1$  if and only if  $\mathrm{Ext}_R^k(M, R) = 0$  (see [Maş00, Lem. 23.(c)]).

If  $\bar{R}$  is Gorenstein and  $\bar{\alpha}$  is not injective, then  $\mathrm{Ext}_R^{k-1}(M, R) \neq 0$  by Corollary 2.21 and hence  $\mathrm{G-dim}(M) \geq k - 1$  by (2.19).  $\square$

We can now conclude the proof of our main result.

*Proof of Theorem 1.2.* Since  $M$  is  $I$ -reflexive,  $W = V^\vee$  by Proposition 2.13.

( $\implies$ ) Suppose that  $V$  is maximal Cohen–Macaulay. Then also  $W$  is maximal Cohen–Macaulay and  $V$  is  $(\bar{\omega}_R)$ -reflexive (see [BH93, Prop. 3.3.3.(b).(ii), Thm. 3.3.10.(d).(iii)]). By Corollary 2.21  $\text{Ext}_R^k(M, R) = 0$  and by Lemma 2.22  $\text{G-dim}(M) = k - 1$ .

( $\impliedby$ ) Suppose that  $\text{G-dim}(M) \leq k - 1$ . By Lemma 2.22  $W$  is maximal Cohen–Macaulay and  $\text{Ext}^k(M, R) = 0$ . By Corollary 2.21  $V = W^\vee$  is  $(\bar{\omega}_R)$ -reflexive and maximal Cohen–Macaulay (see [BH93, Prop. 3.3.3.(b).(ii)]).

The last claim is due to Lemma 2.22.  $\square$

**2.5. Restricted  $I$ -free approximation.** In this subsection we describe a construction that reduces the support of an  $I$ -free approximation (2.4) and preserves  $I$ -reflexivity of  $M$  under suitable hypotheses. In §3.2 this will be related to the definition of multi-logarithmic differential forms and residues along Cohen–Macaulay spaces (see [Ale14, §10] and [Pol16, Ch. 4]).

Fix an ideal  $J \trianglelefteq R$  with  $I \subseteq J$  and set  $S := \bar{R}$  and  $T := R/J$ . By hypothesis  $S$  is Cohen–Macaulay and hence (see [BH93, Prop.1.2.13])

$$(2.22) \quad \text{Ass}(S) = \text{Min Spec}(S).$$

**Lemma 2.23.** *There is an inclusion*

$$\text{Supp}_S(T) \cap \text{Ass}(S) \subseteq \text{Ass}_S(T).$$

*In particular, equality in  $\text{Hom}_S(N, S)$  for any  $T$ -module  $N$ , or in  $\text{Hom}_S(N, T)$  for any  $S$ -module  $N$ , can be checked at  $\text{Ass}_S(T)$ .*

*Proof.* The inclusion follows from (2.22) and  $\text{Min Supp}_S(T) \subseteq \text{Ass}_S(T)$ . For any  $T$ -module  $N$  (see [BH93, Exe. 1.2.27])

$$\text{Ass}_S(\text{Hom}_S(N, S)) = \text{Supp}_S(N) \cap \text{Ass}(S) \subseteq \text{Supp}_S(T) \cap \text{Ass}(S) \subseteq \text{Ass}_S(T)$$

and the first particular claim follows, the second holds for a similar reason.  $\square$

**Definition 2.24.** For any  $S$ -module  $N$  we consider the submodule supported on  $V(J)$

$$N_T := \text{Hom}_S(T, N) = \text{Ann}_N(J) \subseteq N.$$

For an  $I$ -free approximation (2.4) its  $J$ -restriction is the  $I$ -free approximation

$$(2.23) \quad 0 \longrightarrow IF \xrightarrow{\iota_J} M_J \xrightarrow{\rho_T} W_T \longrightarrow 0$$

defined as its image under the map  $\text{Ext}_R^1(W, IF) \rightarrow \text{Ext}_R^1(W_T, IF)$ .

In explicit terms it is the source of a morphism of  $I$ -free approximations

$$(2.24) \quad \begin{array}{ccccccc} 0 & \longrightarrow & IF & \xrightarrow{\iota} & M & \xrightarrow{\rho} & W & \longrightarrow & 0 \\ & & \parallel & & \uparrow & & \uparrow & & \\ 0 & \longrightarrow & IF & \xrightarrow{\iota_J} & M_J & \xrightarrow{\rho_T} & W_T & \longrightarrow & 0. \end{array}$$

The right square is obtained as the pull-back of  $\rho$  and  $W_T \hookrightarrow W$ , whose universal property applied to  $\iota$  and  $0: IF \rightarrow W_T$  gives the left square. The analogue of  $\kappa$  in (2.5) for the  $J$ -restriction (2.23) is the composition

$$(2.25) \quad \kappa_J: M_J = IF :_M J \subseteq M \xrightarrow{\kappa} F.$$

By Lemma 2.2 and the Snake Lemma, applying  $-I$  to (2.24) yields (see Definition 2.8)

$$(2.26) \quad \begin{array}{ccccccccc} 0 & \longleftarrow & V & \xleftarrow{\alpha} & F^\vee & \xleftarrow{\lambda} & M^I & \longleftarrow & 0 \\ & & \downarrow & & \parallel & & \downarrow & & \\ 0 & \longleftarrow & V^T & \xleftarrow{\alpha^T} & F^\vee & \xleftarrow{\lambda^J} & M_J^I & \longleftarrow & 0 \end{array}$$

where the bottom row

$$(2.27) \quad 0 \longleftarrow V^T \xleftarrow{\alpha^T} F^\vee \xleftarrow{\lambda^J} M_J^I \longleftarrow 0$$

is the  $I$ -dual (2.11) of the  $J$ -restriction (2.23). In diagram (2.26), we denote

$$(2.28) \quad U := \ker(V \twoheadrightarrow V^T).$$

The  $J$ -restriction behaves well under the following hypothesis on  $T$ .

$$(2.29) \quad T_{\mathfrak{p}} = \begin{cases} S_{\mathfrak{p}} & \text{if } \mathfrak{p} \in \text{Ass}_S(T), \\ 0 & \text{if } \mathfrak{p} \in \text{Ass}(S) \setminus \text{Ass}_S(T). \end{cases}$$

This is due to the following

*Remark 2.25.* Our constructions commute with localization. As special cases of the  $J$ -restriction and its  $I$ -dual we record

$$(\iota_J, \rho_T) = \begin{cases} (\iota, \rho) & \text{if } T = S, \\ (\text{id}_{IF}, 0) & \text{if } T = 0, \end{cases} \quad (\lambda^J, \alpha^T) = \begin{cases} (\lambda, \alpha) & \text{if } T = S, \\ (\text{id}_{F^\vee}, 0) & \text{if } T = 0. \end{cases}$$

Localizing (2.24) and (2.26) at the image of  $\mathfrak{p} \in \text{Ass}(S)$  under the map  $\text{Spec}(S) \rightarrow \text{Spec}(R)$  yields these special cases under hypothesis (2.29).

In the setup of our applications in §3 condition (2.29) holds true due to the following

**Lemma 2.26.** *If  $S$  is reduced and  $T$  is unmixed with  $\dim(T) = \dim(S)$ , then condition (2.29) holds and  $\text{Ass}_S(T) \subseteq \text{Ass}(S)$ .*

*Proof.* By hypothesis on  $T$  and (2.22)

$$(2.30) \quad \text{Ass}_S(T) = \text{Min Supp}_S(T) \subseteq \text{Min Spec}(S) = \text{Ass}(S).$$

By hypothesis on  $S$ , for any  $\mathfrak{p} \in \text{Ass}(S)$ ,  $S_{\mathfrak{p}}$  is a field with factor ring  $T_{\mathfrak{p}}$ . If  $\mathfrak{p} \in \text{Ass}_S(T)$ , then  $T_{\mathfrak{p}} \neq 0$  and hence  $T_{\mathfrak{p}} = S_{\mathfrak{p}}$ . Otherwise,  $\mathfrak{p} \notin \text{Supp}_S(T)$  by (2.30) and hence  $T_{\mathfrak{p}} = 0$ .  $\square$

**Lemma 2.27.** *Assume that  $R$  is Gorenstein and consider the  $J$ -restriction (2.23) of an  $I$ -free approximation. If  $T$  satisfies condition (2.29), then for  $U$  as defined in (2.28)*

$$\alpha^{-1}(U) = \{\varphi \in F^\vee \mid \varphi \circ \kappa(M) \subseteq J\omega_R\}.$$

*In particular,  $JV \subseteq U$ .*

*Proof.* Let  $\varphi \in F^\vee$  and denote by  $\bar{\varphi}_T$  the map  $\bar{\varphi}$  in diagram (2.6) for the  $J$ -restriction (2.23). Consider the map  $\psi$  defined by the commutative diagram

$$(2.31) \quad \begin{array}{ccc} W & \xrightarrow{\psi} & T \otimes_R \omega_R \\ \uparrow & \searrow \bar{\varphi} & \uparrow \\ W_T & \xrightarrow{\bar{\varphi}_T} & S \otimes_R \omega_R \end{array}$$

By Lemma 2.23 and since  $\omega_R \cong R$  both  $\bar{\varphi}_T = 0$  and  $\psi = 0$  can be checked at  $\text{Ass}_S(T)$ . There the vertical maps in diagram (2.31) induce the identity by condition (2.29) and

Remark 2.25. With diagram (2.26), Lemma 2.9 applied to (2.23) and diagram (2.6) it follows that

$$\alpha(\varphi) \in U \iff \alpha^T(\varphi) = 0 \iff \bar{\varphi}_T = 0 \iff \psi = 0 \iff \varphi \circ \kappa(M) \subseteq J\omega_R.$$

This proves the equality and the inclusion follows with  $JV = J\alpha(F^\vee) = \alpha(JF^\vee)$ .  $\square$

**Proposition 2.28.** *Assume that  $R$  is Gorenstein and consider the  $J$ -restriction (2.23) of an  $I$ -free approximation. If  $T$  satisfies condition (2.29), then with  $M$  also  $M_J$  is  $I$ -reflexive.*

*Proof.* By Lemma 2.27 there is a short exact sequence

$$(2.32) \quad 0 \rightarrow U/JV \rightarrow V/JV \rightarrow V^T \rightarrow 0.$$

By condition (2.29) and Remark 2.25

$$JS_{\mathfrak{p}} = \begin{cases} 0 & \text{if } \mathfrak{p} \in \text{Ass}_S(T), \\ S_{\mathfrak{p}} & \text{if } \mathfrak{p} \in \text{Ass}(S) \setminus \text{Ass}_S(T), \end{cases} \quad (V \twoheadrightarrow V^T)_{\mathfrak{p}} = \begin{cases} \text{id}_{V_{\mathfrak{p}}} & \text{if } \mathfrak{p} \in \text{Ass}_S(T), \\ 0 & \text{if } \mathfrak{p} \in \text{Ass}(S) \setminus \text{Ass}_S(T), \end{cases}$$

and hence

$$\begin{aligned} \forall \mathfrak{p} \in \text{Ass}(S): (JV)_{\mathfrak{p}} = JS_{\mathfrak{p}}V_{\mathfrak{p}} = U_{\mathfrak{p}} &\implies (U/JV)_{\mathfrak{p}} = 0 \\ &\implies \dim(U/JV) < \dim(S) = \text{depth}(\bar{\omega}_R). \end{aligned}$$

Then  $(U/JV)^\vee = 0$  by Ischebeck's Lemma (see [HK71, Satz 1.9]). Using sequence (2.32) and Hom-tensor adjunction it follows that

$$(V^T)^\vee = (V/JV)^\vee = (T \otimes_S V)^\vee = (V^\vee)_T.$$

Denote by  $\nu_T$  the map  $\nu$  from Lemma 2.9 applied to the  $J$ -restriction (2.23). We obtain a diagram

$$(2.33) \quad \begin{array}{ccc} W_T & \xrightarrow{(\nu^\vee \circ \delta_{W, \bar{\omega}_R})_T} & (V^\vee)_T \\ \parallel & & \parallel \\ W_T & \xrightarrow{\delta_{W_T, \bar{\omega}_R}} (W_T)^{\vee\vee} \xrightarrow{(\nu_T)^\vee} & (V^T)^\vee. \end{array}$$

By Lemma 2.23 and since  $\bar{\omega}_R \cong S$ , its commutativity can be checked at  $\text{Ass}_S(T)$ . By condition (2.29) and Remark 2.25 top and bottom horizontal maps in diagram (2.33) identify at  $\text{Ass}_S(T)$ . Diagram (2.33) thus commutes and Proposition 2.13 yields the claim.  $\square$

The Cohen–Macaulay property is invariant under restriction of scalars  $S \rightarrow T$  and by Hom-tensor adjunction  $\text{Hom}_S(-, \omega_S) = \text{Hom}_T(-, \omega_T)$  on  $T$ -modules where (see [BH93, Thm. 3.3.7.(b)])

$$(2.34) \quad \omega_T = \text{Hom}_S(T, \omega_S).$$

Combining Theorem 1.2 and Proposition 2.28 yields the following (see diagram (2.26))

**Corollary 2.29.** *In addition to the hypotheses of Theorem 1.2, let  $J \trianglelefteq R$  with  $J \subseteq I$  be such that  $T = R/J$  satisfies condition (2.29) and  $W_T \neq 0$ . Consider the  $J$ -restriction (2.23) with  $I$ -dual (2.27). Then  $W_T = \text{Hom}_T(V^T, \omega_T)$  and  $V^T$  is a maximal Cohen–Macaulay  $T$ -module if and only if  $\text{G-dim}(M_J) \leq k - 1$ . In this latter case  $V^T = \text{Hom}_T(W_T, \omega_T)$  is  $\omega_T$ -reflexive. Unless  $T \otimes \alpha^T$  (and hence  $\bar{\alpha}$ ) is injective  $\text{G-dim}(M_J) \geq k - 1$ .  $\square$*

Finally we mention a construction analogous to Definition 2.24 not used in the sequel.

*Remark 2.30.* Assume that  $J$  satisfies the hypotheses on  $I$  and consider an  $I$ -free approximation (2.4) where  $W$  is already a  $T$ -module. Then  $W_T = W$  and  $M_J = M$  and the image of (2.4) under the map  $\text{Ext}_R^1(W, IF) \rightarrow \text{Ext}_R^1(W, JF)$  is a  $J$ -free approximation that fits into a commutative diagram with cartesian left square

$$\begin{array}{ccccccc} 0 & \longrightarrow & JF & \longrightarrow & M^J & \longrightarrow & W \longrightarrow 0 \\ & & \uparrow & & \uparrow & & \parallel \\ 0 & \longrightarrow & IF & \longrightarrow & M & \longrightarrow & W \longrightarrow 0 \end{array}$$

where  $M^J/M_J \cong JF/IF$ . In particular,  $M^J = M_J$  if and only if  $I = J$ .

### 3. APPLICATION TO LOGARITHMIC FORMS

In this section results from §2 are used to give a more conceptual approach to and to generalize a duality of multi-logarithmic forms found by Pol [Pol16] as a generalization of result by Granger and the first author [GS14].

Let  $Y$  be a germ of a smooth complex analytic space of dimension  $n$ . Then  $Y \cong (\mathbb{C}^n, 0)$  and  $\mathcal{O}_Y \cong \mathbb{C}\{x_1, \dots, x_n\}$  by a choice of coordinates  $x_1, \dots, x_n$  on  $Y$ . We denote by

$$\mathcal{Q}_- := Q(\mathcal{O}_-)$$

the total ring of fractions of  $\mathcal{O}_-$ . In this section we set  $-^* := \text{Hom}_{\mathcal{O}_Y}(-, \mathcal{O}_Y)$ .

Let  $\Omega_Y^\bullet$  denote the *De Rham algebra on  $Y$* , that is,

$$\mathcal{O}_Y \rightarrow \Omega_Y^1, \quad f \mapsto df,$$

is the universally finite  $\mathbb{C}$ -linear derivation of  $\mathcal{O}_Y$  (see [SS72, §2] and [Kun86, §11]) and  $\Omega_Y^q = \bigwedge_{\mathcal{O}_Y}^q \Omega_Y^1$  for all  $q \geq 0$ . In terms of coordinates  $\Omega_Y^1 \cong \bigoplus_{i=1}^n \mathcal{O}_Y dx_i$  and hence

$$\Omega_Y^q = \bigwedge_{\mathcal{O}_Y}^q \Omega_Y^1 \cong \bigoplus_{i_1 < \dots < i_q} \mathcal{O}_Y dx_{i_1} \wedge \dots \wedge dx_{i_q}$$

is a free  $\mathcal{O}_Y$ -module. By definition the dual

$$(\Omega_Y^1)^* = \text{Der}_{\mathbb{C}}(\mathcal{O}_Y) =: \Theta_Y \cong \bigoplus_{i=1}^n \mathcal{O}_Y \frac{\partial}{\partial x_i}$$

is the module of  $\mathbb{C}$ -linear derivations on  $\mathcal{O}_Y$ , or of vector fields on  $Y$ . The module of  $q$ -vector fields on  $Y$  is then the free  $\mathcal{O}_Y$ -module

$$(\Omega_Y^q)^* = \bigwedge_{\mathcal{O}_Y}^q \Theta_Y =: \Theta_Y^q \cong \bigoplus_{i_1 < \dots < i_q} \mathcal{O}_Y \frac{\partial}{\partial x_{i_1}} \wedge \dots \wedge \frac{\partial}{\partial x_{i_q}}.$$

*Notation 3.1.* We set  $N := \{1, \dots, n\}$  and  $N_{<}^q := \{\underline{j} \in N^q \mid j_1 < \dots < j_q\}$ . For  $\underline{j} \in N^q$  and  $\underline{f} = (f_1, \dots, f_\ell) \in \mathcal{O}_Y^\ell$  we abbreviate

$$\begin{aligned} dx_{\underline{j}} &:= dx_{j_1} \wedge \dots \wedge dx_{j_q}, & \frac{\partial}{\partial x_{\underline{j}}} &:= \frac{\partial}{\partial x_{j_1}} \wedge \dots \wedge \frac{\partial}{\partial x_{j_q}}, \\ \hat{j}_i &:= (j_1, \dots, \hat{j}_i, \dots, j_q), & d\underline{f} &:= df_1 \wedge \dots \wedge df_\ell. \end{aligned}$$

The perfect pairing

$$(3.1) \quad \Theta_Y^q \times \Omega_Y^q \rightarrow \mathcal{O}_Y, \quad (\delta, \omega) \mapsto \langle \delta, \omega \rangle,$$

then satisfies

$$(3.2) \quad \left\langle \frac{\partial}{\partial x_j}, dx_{\underline{k}} \right\rangle = \delta_{\underline{j}, \underline{k}} := \delta_{j_1, k_1} \cdots \delta_{j_q, k_q}.$$

**3.1. Log forms along complete intersections.** Let  $C \subseteq Y$  be a reduced complete intersection of codimension  $k \geq 1$ . Then  $\mathcal{O}_C = \mathcal{O}_Y/\mathcal{I}_C$  where  $\mathcal{I}_C = \mathcal{I}_{C/Y}$  is the ideal of  $C \subseteq Y$ . Let  $\underline{h} = (h_1, \dots, h_k) \in \mathcal{O}_Y^k$  be any regular sequence such that  $\mathcal{I}_C = \langle h_1, \dots, h_k \rangle$ . Geometrically  $C = D_1 \cap \cdots \cap D_k$  where  $D_i := \{h_i = 0\}$  for  $i = 1, \dots, k$ .

*Notation 3.2.* We denote  $D := D_1 \cup \cdots \cup D_k = \{h = 0\}$  where  $h := h_1 \cdots h_k$ ,

$$\begin{aligned} -(D) &:= - \otimes_{\mathcal{O}_Y} \mathcal{O}_Y \frac{1}{h}, & -(-D) &:= - \otimes_{\mathcal{O}_Y} \mathcal{O}_Y h, \\ \Sigma = \Sigma_{C/D/Y} &:= \mathcal{I}_C(D) = \sum_{i=1}^k \frac{h_i}{h} \mathcal{O}_Y \subseteq \mathcal{Q}_Y, & -^\Sigma &:= \text{Hom}_{\mathcal{O}_Y}(-, \Sigma). \end{aligned}$$

Note that  $\Sigma = \mathcal{O}_Y$  in case  $k = 1$ .

The following definition due to Aleksandrov (see [Ale12, §3] and [Pol16, Def. 3.1.4]) generalizes Saito's logarithmic differential forms (see [Sai80]) from the hypersurface to the complete intersection case.

**Definition 3.3.** The module of *multi-logarithmic differential  $q$ -forms on  $Y$  along  $C$*  is defined by

$$\begin{aligned} \Omega^q(\log C) = \Omega_Y^q(\log C) &:= \{\omega \in \Omega_Y^q \mid d\mathcal{I}_C \wedge \omega \subseteq \mathcal{I}_C \Omega_Y^{q+1}\}(D) \\ &= \{\omega \in \Omega_Y^q(D) \mid \forall i = 1, \dots, k: dh_i \wedge \omega \in \Sigma \Omega_Y^{q+1}\} \end{aligned}$$

where the equality is due to the Leibniz rule. Observe that

$$\Sigma \Omega_Y^q \subseteq \Omega^q(\log C) \subseteq \mathcal{Q}_Y \otimes_{\mathcal{O}_Y} \Omega_Y^q$$

with  $\Omega^q(\log C)(-D) \subseteq \mathcal{Q}_Y \otimes_{\mathcal{O}_Y} \Omega_Y^q$  independent of  $D$  (see [Pol16, Prop. 3.1.10]).

Extending Saito's theory (see [Sai80, §1-2]) Aleksandrov (see [Ale12, §3-4,6]) gives an explicit description of multi-logarithmic differential forms and defines a multi-logarithmic residue map. We summarize his results.

**Proposition 3.4.** *An element  $\omega \in \Omega_Y^q(D)$  lies in  $\Omega^q(\log C)$  if and only if there exist  $g \in \mathcal{O}_Y$  inducing a non zero-divisor in  $\mathcal{O}_C$ ,  $\xi \in \Omega_Y^{q-k}$  and  $\eta \in \Sigma \Omega_Y^q$  such that*

$$g\omega = \frac{dh}{h} \wedge \xi + \eta.$$

*This representation defines a multi-logarithmic residue map*

$$\text{res}_C^q: \Omega^q(\log C) \rightarrow \mathcal{Q}_C \otimes_{\mathcal{O}_C} \Omega_C^{q-k}, \quad \omega \mapsto \frac{\xi}{g},$$

*that fits into a short exact multi-logarithmic residue sequence*

$$(3.3) \quad 0 \longrightarrow \Sigma \Omega_Y^q \longrightarrow \Omega^q(\log C) \xrightarrow{\text{res}_C^q} \omega_C^{q-k} \longrightarrow 0$$

*where  $\omega_C^p$  is the module of regular meromorphic  $p$ -forms on  $C$ .* □

**Corollary 3.5.** *For  $q < k$ ,  $\Omega^q(\log C) = \Sigma \Omega_Y^q$  and  $\Omega^n(\log C) = \Omega_Y^n(D)$ .* □

*Remark 3.6.* The multi-logarithmic residue map can be written in terms of residue symbols as  $\text{res}_C^q(\omega) = \begin{bmatrix} h\omega \\ \underline{h} \end{bmatrix}$  (see [Sch16, §1.2]<sup>1</sup>). In particular  $\text{res}_C^k(\frac{dh}{h}) = \begin{bmatrix} dh \\ \underline{h} \end{bmatrix} \in \omega_C^k$  is the fundamental form of  $C$  (see [Ker83, §5]).  $\square$

Higher logarithmic derivation modules play a prominent role in arrangement theory (see for instance [ATW07]). Here we extend the definitions of Granger and the first author (see [GS12, §5]) and by Pol (see [Pol16, Def. 3.2.1]) as follows.

**Definition 3.7.** We define the module of *multi-logarithmic  $q$ -vector fields on  $Y$  along  $C$*  by

$$\begin{aligned} \text{Der}^q(-\log C) &= \text{Der}_Y^q(-\log C) := \left\{ \delta \in \Theta_Y^q \mid \langle \delta, \wedge^k d\mathcal{I}_C \wedge \Omega_Y^{q-k} \rangle \subseteq \mathcal{I}_C \right\} \\ &= \left\{ \delta \in \Theta_Y^q \mid \langle \delta, d\underline{h} \wedge \Omega_Y^{q-k} \rangle \subseteq \mathcal{I}_C \right\} \end{aligned}$$

where the equality is due to the Leibniz rule. Observe that

$$\mathcal{I}_C \Theta_Y^q \subseteq \text{Der}^q(-\log C).$$

**Lemma 3.8.** *We can identify the functors on  $\mathcal{O}_Y$ -modules (see Notation 2.1)*

$$-\Sigma = -(-D)^{\mathcal{I}_C}, \quad (\Sigma \otimes_{\mathcal{O}_Y} -)^{\Sigma} = -^*,$$

and hence  $-\Sigma\Sigma = -\mathcal{I}_C\mathcal{I}_C$ .

*Proof.* Since  $\mathcal{O}_Y(D)$  is invertible and by Hom-tensor adjunction

$$-\Sigma = \text{Hom}_{\mathcal{O}_Y}(-, \mathcal{I}_C(D)) = \text{Hom}_{\mathcal{O}_Y}(-, \text{Hom}_{\mathcal{O}_Y}(\mathcal{O}_Y(-D), \mathcal{I}_C)) = -(-D)^{\mathcal{I}_C}$$

By Lemma 2.3 in case  $k \geq 2$ ,  $\mathcal{O}_Y = \mathcal{I}_C^{\mathcal{I}_C} = \Sigma^{\Sigma}$  and again by Hom-tensor adjunction

$$(\Sigma \otimes_{\mathcal{O}_Y} -)^{\Sigma} = \text{Hom}_{\mathcal{O}_Y}(\Sigma \otimes_{\mathcal{O}_Y} -, \Sigma) = \text{Hom}_{\mathcal{O}_Y}(-, \Sigma^{\Sigma}) = -^*. \quad \square$$

**Lemma 3.9.** *Any elements  $\delta \in \text{Der}^q(-\log C)$  and  $\omega \in \Omega^q(\log C)$  pair to  $\langle \delta, \omega \rangle \in \Sigma$ .*

*Proof.* Let  $g, \xi$  and  $\eta$  be as in Proposition 3.4. Then by definition

$$g\langle \delta, h\omega \rangle = \langle \delta, hg\omega \rangle = \langle \delta, d\underline{h} \wedge \xi + h\eta \rangle = \langle \delta, d\underline{h} \wedge \xi \rangle + h\langle \delta, \eta \rangle \in \mathcal{I}_C.$$

Since  $g$  induces a non zero-divisor in  $\mathcal{O}_C = \mathcal{O}_Y/\mathcal{I}_C$  this implies that  $\langle \delta, h\omega \rangle \in \mathcal{I}_C$  and hence  $\langle \delta, \omega \rangle \in \frac{1}{h}\mathcal{I}_C = \Sigma$ .  $\square$

The following proofs for  $q \geq k \geq 1$  proceed along the lines of Saito's base case  $q = k = 1$  (see [Sai80, (1.6)]) or Pol's generalization to  $q = k \geq 1$  (see [Pol16, Prop. 3.2.13]).

**Lemma 3.10.** *If  $\omega \in \Omega_Y^q(D)$  with  $\langle \text{Der}^q(-\log C), \omega \rangle \subseteq \Sigma$ , then  $\omega \in \Omega^q(\log C)$ .*

*Proof.* For every  $\ell \in \{1, \dots, k\}$  and  $\underline{j} \in N_{<}^{q+1}$  consider

$$\delta_{\underline{j}}^{\ell} := \sum_{i=1}^{q+1} (-1)^{i+1} \frac{\partial h_{\ell}}{\partial x_{j_i}} \frac{\partial}{\partial x_{j_i}} \in \Theta_Y^q.$$

For every  $\underline{i} \in N^{q-k}$

$$d\underline{h} \wedge dx_{\underline{i}} = \sum_{\underline{k} \in N_{<}^q} \frac{\partial(\underline{h}, x_{\underline{i}})}{\partial x_{\underline{k}}} dx_{\underline{k}},$$

<sup>1</sup>This remark was made in the first author's talk "Normal crossings in codimension one" at the 2012 Oberwolfach conference "Singularities" (see [Sch12]).

where  $\frac{\partial(\underline{h}, x_i)}{\partial x_{\underline{k}}}$  is the  $q \times q$ -minor of the Jacobian matrix of  $(\underline{h}, x_i)$  with column indices  $\underline{k}$ , and hence using (3.2)

$$\begin{aligned} \left\langle \delta_{\underline{j}}^\ell, d\underline{h} \wedge dx_{\underline{i}} \right\rangle &= \sum_{i=1}^{q+1} (-1)^{i+1} \frac{\partial h_\ell}{\partial x_{j_i}} \sum_{\underline{k} \in N_{<}^q} \frac{\partial(\underline{h}, x_i)}{\partial x_{\underline{k}}} \left\langle \frac{\partial}{\partial x_{j_i}}, dx_{\underline{k}} \right\rangle \\ &= \sum_{i=1}^{q+1} (-1)^{i+1} \frac{\partial h_\ell}{\partial x_{j_i}} \frac{\partial(\underline{h}, x_i)}{\partial x_{j_i}} = \frac{\partial(h_\ell, \underline{h}, x_i)}{\partial x_{j_i}} = 0. \end{aligned}$$

It follows that  $\delta_{\underline{j}}^\ell \in \text{Der}^q(-\log C)$  for all  $\ell = 1, \dots, k$  and  $\underline{j} \in N_{<}^{q+1}$ .

Now let  $\omega = \sum_{\underline{k} \in N_{<}^q} \frac{a_{\underline{k}}}{h} dx_{\underline{k}} \in \Omega_Y^q(D)$  where  $a_{\underline{k}} \in \mathcal{O}_Y$ . For all  $\ell = 1, \dots, k$  and  $\underline{j} \in N_{<}^{q+1}$

$$\left\langle \delta_{\underline{j}}^\ell, \omega \right\rangle = \sum_{i=1}^{q+1} (-1)^{i+1} \frac{\partial h_\ell}{\partial x_{j_i}} \sum_{\underline{k} \in N_{<}^q} \frac{a_{\underline{k}}}{h} \left\langle \frac{\partial}{\partial x_{j_i}}, dx_{\underline{k}} \right\rangle = \sum_{i=1}^{q+1} (-1)^{i+1} \frac{\partial h_\ell}{\partial x_{j_i}} \frac{a_{j_i}}{h}$$

by (3.2) and hence

$$\begin{aligned} dh_\ell \wedge \omega &= \sum_{j=1}^n \frac{\partial h_\ell}{\partial x_j} dx_j \wedge \sum_{\underline{k} \in N_{<}^q} \frac{a_{\underline{k}}}{h} dx_{\underline{k}} = \sum_{\underline{j} \in N_{<}^{q+1}} \sum_{i=1}^{q+1} \frac{\partial h_\ell}{\partial x_{j_i}} \frac{a_{j_i}}{h} dx_{j_i} \wedge dx_{\underline{j}_i} \\ &= \sum_{\underline{j} \in N_{<}^{q+1}} \sum_{i=1}^{q+1} (-1)^{i+1} \frac{\partial h_\ell}{\partial x_{j_i}} \frac{a_{j_i}}{h} dx_{\underline{j}} = \sum_{\underline{j} \in N_{<}^{q+1}} \left\langle \delta_{\underline{j}}^\ell, \omega \right\rangle dx_{\underline{j}}. \end{aligned}$$

If  $\langle \text{Der}^q(-\log C), \omega \rangle \subseteq \Sigma$ , then  $dh_\ell \wedge \omega \in \Sigma \Omega_Y^q$  for all  $\ell = 1, \dots, k$  and hence  $\omega \in \Omega^q(\log C)$ .  $\square$

**Proposition 3.11.** *There are chains of  $\mathcal{O}_Y$ -submodules of  $\mathcal{Q}_Y \otimes_{\mathcal{O}_Y} \Omega_Y^q$  and  $\mathcal{Q}_Y \otimes_{\mathcal{O}_Y} \Theta_Y^q$*

$$(3.4) \quad \Omega_Y^q \subseteq \Sigma \Omega_Y^q \subseteq \Omega^q(\log C) \subseteq \Omega_Y^q(D) \subseteq \Sigma \Omega_Y^q(D),$$

$$(3.5) \quad \Sigma \Theta_Y^q \supseteq \Theta_Y^q \supseteq \text{Der}^q(-\log C) \supseteq \mathcal{I}_C \Theta_Y^q \supseteq \Theta_Y^q(-D)$$

that are  $\Sigma$ -duals of each other.

*Proof.* Tensoring with  $\mathcal{Q}_Y$  makes both chains collapse. The cokernels of all inclusions are therefore torsion whereas  $\Sigma$  is torsion free. Applying  $-\Sigma$  thus results in a chain of  $\mathcal{O}_Y$ -modules again. In case of (3.4) this yields

$$(\Omega_Y^q)^\Sigma \supseteq (\Sigma \Omega_Y^q)^\Sigma \supseteq \Omega_Y^q(\log C)^\Sigma \supseteq \Omega_Y^q(D)^\Sigma \supseteq (\Sigma \Omega_Y^q(D))^\Sigma$$

and, with Lemma 3.8 and freeness of  $\Omega_Y^q$  and  $\Theta_Y^q$ , the chain of  $\mathcal{O}_Y$ -submodules of  $\mathcal{Q}_Y \otimes_{\mathcal{O}_Y} \Theta_Y^q$

$$\Sigma \Theta_Y^q \supseteq \Theta_Y^q \supseteq \Omega_Y^q(\log C)^\Sigma \supseteq \mathcal{I}_C \Theta_Y^q \supseteq \Theta_Y^q(-D).$$

For every  $\delta \in \Omega^q(\log C)^\Sigma$  and  $\xi \in \Omega^{q-k}, \frac{dh}{h} \wedge \xi \in \Omega^q(\log C)$  by Proposition 3.4, hence

$$\langle \delta, d\underline{h} \wedge \xi \rangle = h \left\langle \delta, \frac{dh}{h} \wedge \xi \right\rangle \in h\Sigma = \mathcal{I}_C$$

and  $\delta \in \text{Der}^q(-\log C)$ . With Lemma 3.9, it follows that  $\Omega_Y^q(\log C)^\Sigma = \text{Der}^q(-\log C)$ .

By the same reasoning  $-\Sigma$  applied to (3.5) yields a chain of  $\mathcal{O}_Y$ -modules

$$(\Sigma \Theta_Y^q)^\Sigma \subseteq (\Theta_Y^q)^\Sigma \subseteq \text{Der}^q(-\log C)^\Sigma \subseteq (\Sigma \Theta_Y^q)(-D)^\Sigma \subseteq \Theta_Y^q(-D)^\Sigma$$

that can be rewritten as the chain of  $\mathcal{O}_Y$ -submodules of  $\mathcal{Q}_Y \otimes_{\mathcal{O}_Y} \Omega_Y^q$

$$\Omega_Y^q \subseteq \Sigma \Omega_Y^q \subseteq \text{Der}^q(-\log C)^\Sigma \subseteq \Omega_Y^q(D) \subseteq \Sigma \Omega_Y^q(D).$$

The missing equality  $\text{Der}^q(-\log C)^\Sigma = \Omega^q(\log C)$  follows from Lemmas 3.9 and 3.10.  $\square$

**3.2. Log forms along Cohen–Macaulay spaces.** Let  $X \subseteq Y$  be a reduced Cohen–Macaulay germ of codimension  $k \geq 2$ . Then  $\mathcal{O}_X = \mathcal{O}_Y/\mathcal{I}_X$  where  $\mathcal{I}_X := \mathcal{I}_{X/Y}$  denotes the ideal  $X \subseteq Y$ . There is a reduced complete intersection  $C \subseteq Y$  of codimension  $k$  such that  $X \subseteq C$  and hence  $\mathcal{I}_X \supseteq \mathcal{I}_C$  (see [Pol16, Prop. 4.2.1]). Set  $X' := \overline{C \setminus X}$  such that  $C = X \cup X'$ . The link with §2.5 is made by setting

$$S := \mathcal{O}_C, \quad T := \mathcal{O}_X.$$

By Lemma 2.26 condition (2.29) holds and

$$(3.6) \quad \mathcal{Q}_C = \prod_{\mathfrak{p} \in \text{Ass}_{\mathcal{O}_C}(\mathcal{O}_X)} \mathcal{O}_{X,\mathfrak{p}} \times \prod_{\mathfrak{p} \in \text{Ass}_{\mathcal{O}_C}(\mathcal{O}_{X'})} \mathcal{O}_{X',\mathfrak{p}} = \mathcal{Q}_X \times \mathcal{Q}_{X'}.$$

This decomposition extends to differential forms as follows.

**Lemma 3.12.** *We have  $\mathcal{Q}_X d\mathcal{I}_C = \mathcal{Q}_X d\mathcal{I}_X \subseteq \mathcal{Q}_X \otimes_{\mathcal{O}_Y} \Omega_Y^1$  and hence*

$$\mathcal{Q}_C \otimes_{\mathcal{O}_C} \Omega_C^p = \mathcal{Q}_X \otimes_{\mathcal{O}_X} \Omega_X^p \oplus \mathcal{Q}_{X'} \otimes_{\mathcal{O}_{X'}} \Omega_{X'}^p.$$

*Proof.* By (3.6) we may localize at  $\mathfrak{p} \in \text{Ass}_{\mathcal{O}_C}(\mathcal{O}_X)$ . We may further assume  $p = 1$  since exterior product commutes with extension of scalars. Let  $\mathfrak{p} \mapsto \mathfrak{q}$  under  $\text{Spec}(\mathcal{O}_C) \rightarrow \text{Spec}(\mathcal{O}_Y)$ . Then  $\mathcal{I}_{C,\mathfrak{q}} = \mathcal{I}_{X,\mathfrak{q}}$  by (3.6) and hence  $u\mathcal{I}_X \subseteq \mathcal{I}_C$  for some  $u \in \mathcal{O}_Y \setminus \mathfrak{q}$ . By the Leibniz rule  $u d\mathcal{I}_X \subseteq d\mathcal{I}_C + \mathcal{I}_X du$  and hence the first claim. Since  $\Omega_C^1 = \Omega_Y^1/(\mathcal{O}_Y d\mathcal{I}_C + \mathcal{I}_C \Omega_Y^1)$  this yields  $\Omega_{C,\mathfrak{p}}^1 = \Omega_{X,\mathfrak{p}}^1$  and the second claim follows.  $\square$

The following fact is well-known (see [Sch16, (2.14)]); we only sketch a proof.

**Lemma 3.13.** *The modules of regular differential  $p$ -forms on  $X$  and  $C$  are related by  $\omega_X^p = \text{Hom}_{\mathcal{O}_C}(\mathcal{O}_X, \omega_C^p) \subseteq \omega_C^p$ .*

*Proof.* Kersken explicitly describes (see [Ker84, (1.2)])

$$(3.7) \quad \omega_X^p = \left\{ \begin{bmatrix} \xi \\ h \end{bmatrix} \mid \xi \in \Omega_Y^{p+k}, \mathcal{I}_X \xi \subseteq \mathcal{I}_C \Omega_Y^{p+k}, d\mathcal{I}_X \wedge \xi \subseteq \mathcal{I}_C \Omega_Y^{p+k+1} \right\}$$

where  $\begin{bmatrix} \xi \\ h \end{bmatrix} = 0$  if and only if  $\xi \in \mathcal{I}_C \Omega_Y^{p+k}$ . In particular,  $\omega_X^p \subseteq \text{Hom}_{\mathcal{O}_C}(\mathcal{O}_X, \omega_C^p) \subseteq \omega_C^p$  and equality in  $\omega_C^p$  can be checked at  $\text{Ass}(\mathcal{O}_C)$ . Lemma 3.12 yields the claim.  $\square$

The following modules of differential forms on  $Y$  due to Aleksandrov (see [Ale14, Def. 10.1] and [Pol16, Def. 4.1.3]) are defined by the relations in (3.7).

**Definition 3.14.** The module of *multi-logarithmic differential  $q$ -forms on  $Y$  along  $X$  relative to  $C$*  is defined by

$$\begin{aligned} \Omega^q(\log X/C) &= \Omega_Y^q(\log X/C) := \{ \omega \in \Omega_Y^q \mid \mathcal{I}_X \omega \subseteq \mathcal{I}_C \Omega_Y^q, d\mathcal{I}_X \wedge \omega \subseteq \mathcal{I}_C \Omega_Y^{q+1} \}(D) \\ &= \{ \omega \in \Omega_Y^q(D) \mid \mathcal{I}_X \omega \subseteq \Sigma \Omega_Y^q, d\mathcal{I}_X \wedge \omega \subseteq \Sigma \Omega_Y^{q+1} \}. \end{aligned}$$

Observe that

$$\Sigma \Omega_Y^q \subseteq \Omega^q(\log X/C) \subseteq \Omega^q(\log C)$$

with  $\Omega^q(\log X/C)(-D) \subseteq \mathcal{Q}_Y \otimes_{\mathcal{O}_Y} \Omega_Y^q$  independent of  $D$  (see [Pol16, Prop. 4.1.5]).

**Lemma 3.15.** *There is an equality  $\Omega^q(\log X/C) = \Sigma \Omega_Y^q :_{\Omega^q(\log C)} \mathcal{I}_X$ . In other words,  $\Omega^q(\log X/C)(-D) = \mathcal{I}_X \Omega_Y^q :_{\Omega^q(\log C)} \mathcal{I}_X$ .*

*Proof.* There are obvious inclusions

$$\Sigma\Omega_Y^q \subseteq \Omega^q(\log X/C) \subseteq \Sigma\Omega_Y^q :_{\Omega^q(\log C)} \mathcal{I}_X \subseteq \Omega^q(\log C).$$

By Proposition 3.4 and Lemma 3.12

$$\begin{aligned} \omega \in \Sigma\Omega_Y^q :_{\Omega^q(\log C)} \mathcal{I}_X &\implies \mathcal{I}_X \operatorname{res}_C^q(\omega) \subseteq \operatorname{res}_C^q(\Sigma\Omega_Y^q) = 0 \\ &\implies \operatorname{res}_C^q(\omega) \in \mathcal{Q}_X \otimes_{\mathcal{O}_X} \Omega_X^{q-k} \\ &\implies 0 = d\mathcal{I}_X \wedge \operatorname{res}_C^q(\omega) = \operatorname{res}_C^{q+1}(d\mathcal{I}_X \wedge \omega) \\ &\implies d\mathcal{I}_X \wedge \omega \subseteq \Sigma\Omega_Y^{q+1} \\ &\implies \omega \in \Omega^q(\log X/C). \quad \square \end{aligned}$$

The idea of Remark 3.6 is used by Aleksandrov (see [Ale14, §10]) to define multi-logarithmic residues along  $X$  as the restriction of those along  $C$ . The bottom sequence of the diagram in the following Proposition 3.16 appears in his work (see [Ale14, Thm. 10.2]); Pol proved exactness on the right (see [Pol16, Prop. 4.1.21]). An alternative argument is suggested by §2.5. The following data

(3.8)

$$R := \mathcal{O}_Y, \quad I := \mathcal{I}_C, \quad J := \mathcal{I}_X, \quad F := \Omega_Y^q, \quad M := \Omega^q(\log C)(-D), \quad \rho := \frac{1}{h} \operatorname{res}_C^q$$

give rise to an  $I$ -free approximation (2.4) with  $J$ -restriction (2.23). By Corollary 3.5  $W = 0$  if  $q < k$  and (2.4) is trivial for  $q = n$ . We are therefore concerned with the case  $k \leq q < n$ . By Lemmas 3.13 and 3.15 (see Definition 2.24 and (2.25))

$$(3.9) \quad W_T = \omega_X^{q-k}, \quad M_J = \Omega^q(\log X/C)(-D).$$

Now twisting diagram (2.24) by  $D$  yields the following result.

**Proposition 3.16.** *Applying  $\operatorname{Ext}_{\mathcal{O}_Y}^1(\omega_X^{q-k} \hookrightarrow \omega_C^{q-k}, \Sigma\Omega_Y^q)$  to the multi-logarithmic residue sequence (3.3) yields a commutative diagram with exact rows and cartesian right square*

$$(3.10) \quad \begin{array}{ccccccc} 0 & \longrightarrow & \Sigma\Omega_Y^q & \longrightarrow & \Omega^q(\log C) & \xrightarrow{\operatorname{res}_C^q} & \omega_C^{q-k} & \longrightarrow & 0 \\ & & \parallel & & \uparrow & & \uparrow & & \\ 0 & \longrightarrow & \Sigma\Omega_Y^q & \longrightarrow & \Omega^q(\log X/C) & \xrightarrow{\operatorname{res}_{X/C}^q} & \omega_X^{q-k} & \longrightarrow & 0 \end{array}$$

where  $\omega_X^p$  is the module of regular meromorphic  $p$ -forms on  $X$ . □

**3.3. Higher log vector fields and Jacobian modules.** Pol gives a description of  $\operatorname{res}_{X/C}^q$  preserving the analogy with the definition of  $\operatorname{res}_C^q$  in Proposition 3.4 (see [Pol16, §4.2.1]). As suggested by Remark 3.6 the role of  $\frac{dh}{h} \in \Omega^k(\log C)$  is played by a preimage  $\frac{\alpha_X}{h} \in \Omega^k(\log X/C)$  of the fundamental form  $\begin{bmatrix} \alpha_X \\ h \end{bmatrix} \in \omega_X^0$  of  $X$  (see [Ker83, §5]).

**Definition 3.17.** Let  $\mathbf{1}_X := (1, 0) \in \mathcal{Q}_X \times \mathcal{Q}_{X'} = \mathcal{Q}_C$  (see Lemma 3.12). A *fundamental form of  $X$  in  $Y$*  is an  $\alpha_X = \alpha_{X/C/Y} \in \Omega_Y^k$  such that  $\overline{\alpha_X} = \overline{\mathbf{1}_X d\overline{h}} \in \mathcal{Q}_C \otimes_{\mathcal{O}_Y} \Omega_Y^k$ .

Such a fundamental form exists and the explicit description of multi-logarithmic differential forms in Proposition 3.4 generalizes verbatim (see [Pol16, Prop. 4.2.6]).

**Proposition 3.18.** *An element  $\omega \in \Omega_Y^q(D)$  lies in  $\Omega^q(\log X/C)$  if and only if there exist  $g \in \mathcal{O}_Y$  inducing a non zero-divisor in  $\mathcal{O}_C$ ,  $\xi \in \Omega_Y^{q-k}$  and  $\eta \in \Sigma\Omega_Y^q$  such that*

$$g\omega = \frac{\alpha_X}{h} \wedge \xi + \eta$$

and the map  $\text{res}_{X/C}^q$  in (3.10) is defined by  $\text{res}_{X/C}^q(\omega) = \frac{\xi}{g}$ .  $\square$

In the same spirit we extend Definition 3.7. We start with the first option as definition.

**Definition 3.19.** We define the module of *multi-logarithmic  $q$ -vector fields on  $Y$  along  $X$*  by

$$\text{Der}^q(-\log X) = \text{Der}_Y^q(-\log X) := \left\{ \delta \in \Theta_Y^q \mid \langle \delta, \wedge^k d\mathcal{I}_X \wedge \Omega_Y^{q-k} \rangle \subseteq \mathcal{I}_X \right\}.$$

The following result completes the analogy with Definition 3.7. In particular  $\text{Der}^k(-\log X)$  is Pol's module  $\text{Der}^k(-\log X/C)$  (see [Pol16, Def. 4.2.8]) which is thus independent of  $C$ .

**Lemma 3.20.** *We have*

$$\begin{aligned} \text{Der}^q(-\log C) &\subseteq \left\{ \delta \in \Theta_Y^q \mid \langle \delta, \alpha_X \wedge \Omega_Y^{q-k} \rangle \subseteq \mathcal{I}_X \right\} = \text{Der}^q(-\log X) \\ &= \left\{ \delta \in \Theta_Y^q \mid \langle \delta, \alpha_X \wedge \Omega_Y^{q-k} \rangle \subseteq \mathcal{I}_C \right\}. \end{aligned}$$

*Proof.* By Definition 3.17  $\overline{\alpha_X} = \overline{\mathbf{1}_X d\mathbf{h}} = \overline{d\mathbf{h}} \in \mathcal{Q}_X \otimes_{\mathcal{O}_Y} \Omega_Y^k$ . For  $\delta \in \Theta_Y^q$  and  $\xi \in \Omega_Y^{q-k}$

$$\langle \delta, \alpha_X \wedge \xi \rangle \in \mathcal{I}_X \iff 0 = \overline{\langle \delta, \alpha_X \wedge \xi \rangle} = \langle \overline{\delta}, \overline{\alpha_X} \wedge \overline{\xi} \rangle = \langle \overline{\delta}, \overline{d\mathbf{h}} \wedge \overline{\xi} \rangle = \langle \overline{\delta}, \overline{d\mathbf{h}} \wedge \overline{\xi} \rangle \in \mathcal{Q}_X$$

where  $\overline{\delta} \in \mathcal{Q}_X \otimes_{\mathcal{O}_Y} \Theta_Y^q$  and  $\overline{\xi} \in \mathcal{Q}_X \otimes_{\mathcal{O}_Y} \Omega_Y^{q-k}$ . The claimed inclusion follows. Using the Leibniz rule and that  $\mathcal{Q}_X d\mathcal{I}_C = \mathcal{Q}_X d\mathcal{I}_X \subseteq \mathcal{Q}_X \otimes_{\mathcal{O}_Y} \Omega_Y^1$  by Lemma 3.12

$$\begin{aligned} 0 = \langle \overline{\delta}, \overline{d\mathbf{h}} \wedge \overline{\xi} \rangle \in \mathcal{Q}_X &\iff 0 = \langle \overline{\delta}, \wedge^k \overline{d\mathcal{I}_C} \wedge \overline{\xi} \rangle = \langle \overline{\delta}, \wedge^k \overline{d\mathcal{I}_X} \wedge \overline{\xi} \rangle = \overline{\langle \delta, \wedge^k d\mathcal{I}_X \wedge \xi \rangle} \subseteq \mathcal{Q}_X \\ &\iff \langle \delta, \wedge^k d\mathcal{I}_X \wedge \xi \rangle \subseteq \mathcal{I}_X. \end{aligned}$$

This proves the first equality. With  $\mathcal{I}_C = \mathcal{I}_X \cap \mathcal{I}_{X'}$  the second equality follows from  $\alpha_X \in \mathcal{I}_{X'} \Omega_Y^k$  (see [Pol16, Prop. 4.2.5]).  $\square$

Using Proposition 3.18 and Lemma 3.20 we obtain the following analogue of Lemma 3.9 and of the equality  $\text{Der}^q(-\log C) = \Omega^q(\log C)^\Sigma$  from Proposition 3.11.

**Lemma 3.21.** *For  $\delta \in \text{Der}^q(-\log X)$  and  $\omega \in \Omega^q(\log X/C)$  we have  $\langle \delta, \omega \rangle \in \Sigma$ .*  $\square$

**Lemma 3.22.** *There is an equality  $\text{Der}^q(-\log X) = \Omega^q(\log X/C)^\Sigma$ .*  $\square$

The following proposition extends Proposition 3.11 and includes the counterpart of Lemma 3.10.

**Proposition 3.23.** *There are chains of  $\mathcal{O}_Y$ -submodules of  $\mathcal{Q}_Y \otimes_{\mathcal{O}_Y} \Omega_Y^q$  and  $\mathcal{Q}_Y \otimes_{\mathcal{O}_Y} \Theta_Y^q$*

$$\begin{aligned} \Omega_Y^q &\subseteq \Sigma \Omega_Y^q \subseteq \Omega^q(\log X/C) \subseteq \Omega^q(\log C) \subseteq \Omega_Y^q(D) \subseteq \Sigma \Omega_Y^q(D), \\ \Sigma \Theta_Y^q &\supseteq \Theta_Y^q \supseteq \text{Der}^q(-\log X) \supseteq \text{Der}^q(-\log C) \supseteq \mathcal{I}_C \Theta_Y^q \supseteq \Theta_Y^q(-D) \end{aligned}$$

*that are  $\Sigma$ -duals of each other.*

*Proof.* By Lemma 3.8 and Proposition 3.11  $M$  in (3.8) is  $I$ -reflexive. By Proposition 2.28 and (3.9)  $\Omega^q(\log X/C)(-D)$  is therefore  $\mathcal{I}_C$ -reflexive and, again by Lemma 3.8,  $\Omega^q(\log X/C)$   $\Sigma$ -reflexive. The claim follows with Proposition 3.11 and Lemmas 3.20 and 3.22.  $\square$

**Definition 3.24.** Contraction with  $\alpha_X$  defines a map

$$\alpha^X: \Theta_Y^q \rightarrow \mathcal{O}_X \otimes_{\mathcal{O}_Y} \Theta_Y^{q-k} = \text{Hom}_{\mathcal{O}_Y}(\Omega_Y^{q-k}, \mathcal{O}_X), \quad \delta \mapsto (\omega \mapsto \overline{\langle \delta, \alpha_X \wedge \omega \rangle}).$$

Taking  $p+q=n$  we define the  $p$ th Jacobian module of  $X$  as the  $\mathcal{O}_X$ -module

$$\mathcal{J}_X^p := \alpha^X(\Theta_Y^q).$$

The Jacobian module  $\mathcal{J}_X^{\dim X}$  agrees with Pol's Jacobian ideal  $\mathcal{J}_{X/C}$  (see [Pol16, Not. 4.2.14]) which coincides with the  $\omega$ -Jacobian ideal if  $X$  is Gorenstein (see [Pol16, Prop. 4.2.34]).

*Remark 3.25.* In explicit terms

$$\alpha^X: \Theta_Y^q \rightarrow \bigoplus_{\underline{i} \in N_{<}^{q-k}} \mathcal{O}_X dx_{\underline{i}}, \quad \delta \mapsto \sum_{\underline{i} \in N_{<}^{q-k}} \langle \delta, \alpha_X \wedge dx_{\underline{i}} \rangle dx_{\underline{i}}.$$

In case  $X = C$ ,  $\alpha_C = d\underline{h}$  and

$$\langle \delta, d\underline{h} \wedge dx_{\underline{i}} \rangle = \sum_{\underline{j} \in N_{<}^q} \frac{\partial(\underline{h}, x_{\underline{i}})}{\partial x_{\underline{j}}} \langle \delta, dx_{\underline{j}} \rangle.$$

In particular,  $\mathcal{J}_C^{\dim C}$  is the Jacobian ideal of  $C$ .

**Lemma 3.26.** *If  $k \leq q \leq n$ , then  $\omega_X^{q-k} \neq 0$  and, unless  $q = n$ ,  $\mathcal{O}_X \otimes \alpha^X$  is not injective.*

*Proof.* This can be checked at smooth points of  $X = C$  where  $\underline{h} = (x_1, \dots, x_k)$  and  $\alpha_X = d\underline{h}$ . Here  $\omega_X^{q-k} = \Omega_X^{q-k} \neq 0$  and  $0 \neq \frac{\partial}{\partial x_{\underline{j}}} \in \ker(\mathcal{O}_X \otimes \alpha^X)$  if  $\{1, \dots, k\} \not\subseteq \{j_1, \dots, j_q\}$ .  $\square$

By Lemma 3.20 there is a short exact sequence (see [Pol16, Prop. 4.2.16] for  $q = k$ )

$$(3.11) \quad 0 \longleftarrow \mathcal{J}_X^{n-q} \xleftarrow{\alpha^X} \Theta_Y^q \longleftarrow \mathrm{Der}_Y^q(-\log X) \longleftarrow 0.$$

**Lemma 3.27.** *There is a pairing*

$$\mathcal{J}_X^{n-q} \otimes \omega_X^{q-k} \rightarrow \mathrm{Hom}_{\mathcal{O}_C}(\mathcal{O}_X, \mathcal{O}_C)(D) = \omega_X, \quad \left( \alpha^X(\delta), \mathrm{res}_{X/C}^q(\omega) \right) \mapsto \langle \delta, \omega \rangle.$$

*Proof.* By Lemma 3.21 the pairing  $\Omega_Y^q(D) \times \Theta_Y^q \rightarrow \mathcal{O}_Y(D)$  obtained from (3.1) maps both  $\Omega_Y^q(\log X/C) \times \mathrm{Der}_Y^q(-\log X)$  and  $\Sigma \Omega_Y^q \otimes \Theta_Y^q$  to  $\Sigma$ . Using the bottom row of (3.10) and (3.11) this yields a pairing  $\mathcal{J}_X^{n-q} \otimes \omega_X^{q-k} \rightarrow \mathcal{O}_Y(D)/\Sigma = \mathcal{O}_C(D) = \omega_C$ . Both  $\mathcal{J}_X^{n-q}$  and  $\omega_X^{q-k}$  are supported on  $X$  and applying  $\mathrm{Hom}_{\mathcal{O}_C}(\mathcal{O}_X, -)$  yields the claim (see (2.34)).  $\square$

We can now prove our main application.

*Proof of the Theorem 1.3.* By Lemmas 3.8 and 3.22 sequence (3.11) in terms of (3.8) is the  $I$ -dual  $J$  restriction (2.27) twisted by  $D$ , that is,  $V^T = \mathcal{J}_X^{n-q}$  and  $\alpha^T = \alpha^X$  up to a twist by  $D$ . With (3.9) and Lemma 3.26 the claim now reduces to Corollary 2.29. The identifications are induced by the pairing in Lemma 3.27.  $\square$

**Proposition 3.28.** *The  $\mathcal{O}_X$ -modules  $\mathcal{J}_X^{n-q}$  depend only on  $X$ .*

*Proof.* We identify  $\mathcal{J}_X^{n-q} = \Theta_Y^q / \mathrm{Der}_Y^q(-\log X)$  by the exact sequence (3.11). Any isomorphism  $Y' \cong Y$  of minimal embeddings of  $X$  induces an isomorphism  $\varphi: \mathcal{O}_Y \cong \mathcal{O}_{Y'}$  over  $\mathcal{O}_X$  identifying  $\mathcal{I}_{X/Y} \cong \mathcal{I}_{X/Y'}$ . There are induced compatible isomorphisms  $\Theta_Y^q \cong \Theta_{Y'}^q$ , and  $\Omega_Y^p \cong \Omega_{Y'}^p$ , over  $\varphi$  resulting in an isomorphism over  $\varphi$

$$\mathrm{Der}_Y^q(-\log X) \cong \mathrm{Der}_{Y'}^q(-\log X).$$

Any general embedding  $X \subseteq Y'$  arises from a minimal embedding  $X \subseteq Y$  up to isomorphism of the latter as  $Y' = Y \times Z$  where  $Z \cong (\mathbb{C}^m, 0)$  and hence

$$\mathcal{I}_{X/Y'} = \mathcal{O}_Y \hat{\otimes} \mathfrak{m}_Z + \mathcal{I}_{X/Y} \hat{\otimes} \mathcal{O}_Z.$$

Pick coordinates  $z_1, \dots, z_m$  on  $Z$  and abbreviate  $d\underline{z} := dz_1 \wedge \dots \wedge dz_m$  and  $\frac{\partial}{\partial \underline{z}} := \frac{\partial}{\partial z_1} \wedge \dots \wedge \frac{\partial}{\partial z_m}$ . Then there are decompositions

$$\Omega_{Y'}^{q+m} = \mathcal{O}_Z \hat{\otimes} \Omega_Y^q \wedge d\underline{z} \oplus \tilde{\Omega}_{Y'}^{q+m}, \quad \Theta_{Y'}^{q+m} = \mathcal{O}_Z \hat{\otimes} \Theta_Y^q \wedge \frac{\partial}{\partial \underline{z}} \oplus \tilde{\Theta}_{Y'}^{q+m}$$

where the modules with tilde are generated by basis elements not involving  $d\underline{z}$  and  $\frac{\partial}{\partial \underline{z}}$  respectively. Fundamental forms of  $X$  in  $Y'$  and  $Y$  can be chosen compatibly as

$$\alpha_{X/C/Y'} = \alpha_{X/C/Y} \wedge d\underline{z} \in \Omega_{Y'}^{k+m}.$$

With Lemma 3.20 this yields inclusions

$$\mathrm{Der}_Y^q(-\log X) \wedge \frac{\partial}{\partial \underline{z}} + \tilde{\Theta}_{Y'}^{q+m} \subseteq \mathrm{Der}_{Y'}^{q+m}(-\log X) \supseteq \mathcal{I}_{X/Y'} \Theta_{Y'}^{q+m} \supseteq \mathfrak{m}_Z \hat{\otimes} \Theta_Y^q \wedge \frac{\partial}{\partial \underline{z}}$$

and a cartesian square

$$\begin{array}{ccc} \mathcal{O}_Z \hat{\otimes} \Theta_Y^q & \xrightarrow{-\wedge \frac{\partial}{\partial \underline{z}}} & \Theta_{Y'}^{q+m} \\ \uparrow & & \uparrow \\ \mathrm{Der}_Y^q(-\log X) + \mathfrak{m}_Z \hat{\otimes} \Theta_Y^q & \longrightarrow & \mathrm{Der}_{Y'}^{q+m}(-\log X). \end{array}$$

It gives rise to an isomorphism of  $\mathcal{O}_X$ -modules

$$\begin{aligned} \Theta_{Y'}^{q+m} / \mathrm{Der}_{Y'}^{q+m}(-\log X) &\cong \mathcal{O}_Z \hat{\otimes} \Theta_Y^q / (\mathrm{Der}_Y^q(-\log X) + \mathfrak{m}_Z \hat{\otimes} \Theta_Y^q) \\ &\cong \Theta_Y^q / \mathrm{Der}_Y^q(-\log X). \end{aligned} \quad \square$$

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